

JOURNAL OF FUNCTIONAL ANALYSIS **16**, 415–445 (1974)

# Almost Periodic States and Factors of Type $\text{III}_1$

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Received January 4, 1974

We construct a factor of type  $\text{III}_1$  which has no almost-periodic state (or weight). We exhibit a factor  $N$  of type  $\text{II}_\infty$  and two automorphisms  $\theta_1, \theta_2$  of  $N$  which are not in the same conjugacy class in  $\text{Out } N = \text{Aut } N / \text{Int } N$  though  $\tau\theta_1 = \lambda\tau, \tau\theta_2 = \lambda\tau$  with  $\lambda \in ]0, 1[$ ,  $\tau = \text{Trace on } N$ . We introduce and study two invariants  $Sd$  and  $\tau$  for factors of type  $\text{III}_1$ . We relate the closedness of  $\text{Int } M$  in  $\text{Aut } M$  to the absence of central sequences in the von Neumann algebra  $M$ .

## INTRODUCTION

In [4] we proved that an arbitrary factor of type  $\neq \text{III}_1$  is the crossed product of a semifinite von Neumann algebra by the group  $\mathbb{Z}$  of integers. In [13] Takesaki showed that any factor of type  $\text{III}_1$  is the cross product of a semifinite von Neumann algebra by  $\mathbb{R}$ , the additive group of real numbers. Due to the obvious greater technical simplicity of discrete cross products it was natural to ask whether a decomposition as cross product of a semifinite von Neumann algebra by a discrete abelian group was always possible for factors of type  $\text{III}_1$ . We shall show (Corollary 5.5) that such a decomposition may fail to exist, even for factors acting in a separable Hilbert space, proving at the same time that factors of type  $\text{III}_1$  may fail to have any almost-periodic state [4, Problem 4].

To study factors of type  $\text{III}_1$  we define two invariants  $Sd$  and  $\tau$ . The point modular spectrum  $Sd(M)$  is the intersection of the point spectra of all almost-periodic weights (if any) on  $M$ . It is always a denumerable subgroup of  $\mathbb{R}_+^*$ , when it is not  $\mathbb{R}_+^*$  and we shall see (Corollary 4.4) that it can be any denumerable subgroup of  $\mathbb{R}_+^*$ . There is a large class of factors for which it is easy to compute and is reasonably significant. In fact for any full factor (see definition below) the following hold, with  $\varphi$  an almost-periodic weight on  $M$ .

- (1)  $Sd(M) = \bigcap$  point spectrum of  $\Delta_{e_e}$  with  $e$  projection,  $e \in M_e$ ,  $e \neq 0$ .
- (2) There exists an almost-periodic weight  $\psi$ ,  $\psi(1) = +\infty$  such that  $Sd(M) =$  point spectrum  $\Delta_\psi$ .
- (3) The  $\psi$  of (2) is unique up to inner automorphisms and multiplication by a scalar.
- (4)  $\overline{Sd(M)} = S(M)$

Property 1 does not hold in general (for nonfull factors), which then makes the computability problem hard.

The class of full factors appears when looking for a topological structure on the group  $\text{Out } M = \text{Aut } M / \text{Int } M$ . When  $M_*$  is separable, the group  $\text{Aut } M$  gifted with the topology of pointwise norm convergence in  $M_*$  (topology studied in [1] and [8]) becomes a polish space as well as a topological group, which shows the significance of this  $u$ -topology. Of course the topological group  $\text{Out } M$  is hausdorff iff  $\text{Int } M$  is closed in  $\text{Aut } M$ . By definition, a von Neumann algebra  $M$  is full when  $\text{Int } M$  is closed in  $\text{Aut } M$ .

Obviously all factors of type I are full, having no outer automorphism. A factor of type  $\text{II}_1$  is full iff it does not have property  $\Gamma$  of von Neumann. For instance the hyperfinite factor of type  $\text{II}_1$ :  $R_1$  is not full, in fact  $\text{Aut } R_1 = \overline{\text{Int } R_1}$ , while the factor coming from the left regular representation of the free group of two generators is full.

An arbitrary factor  $M$  is full iff all sequences  $(x_n)_{n \in \mathbb{N}}$ ,  $\|x_n\|$  bounded,  $x_n \in M$  such that  $\|[x_n, \varphi]\| \rightarrow_{n \rightarrow \infty} 0$ ,  $\forall \varphi \in M_*$  are trivial.

Due to their description [4, Section V], factors of type  $\text{III}_0$  are never full, in fact they always have property  $L$  of Pukanszky and for each  $t \in \mathbb{R}$ , the modular automorphism  $\sigma_t^\varphi$  belongs to  $\overline{\text{Int } M}$ ,  $\forall \varphi$ . For  $\lambda \in ]0, 1[$ , the Pukanszky's factor  $P_\lambda$  is full. It then follows that there exists a full factor  $N_0$  (resp.  $N_1$ ) of type  $\text{II}_1$  (resp.  $\text{II}_\infty$ ) with  $\lambda \in$  fundamental group  $G(N_0)$  (resp.  $G(N_1)$ ). Whence  $G(N) \neq \{1\}$  does not imply  $N \otimes R_1$  isomorphic to  $N$ .

It also follows that there exists a factor  $N_1$  of type  $\text{II}_\infty$  and two automorphisms  $\theta_a, \theta_b$  of  $N_1$  which both satisfy  $\tau\theta_a = \lambda\tau$ ,  $\tau\theta_b = \lambda\tau$ , but are not in the same conjugacy class in  $\text{Out } N_1$ . In particular  $M_a =$  cross product of  $N_1$  by  $\theta_a$ , and  $M_b$  are nonisomorphic factors of type  $\text{III}_\lambda$  with  $M_a \sim M_b$  in the notations of [4, Section IV]. The existence of full factors  $M$  of type  $\text{III}_1$  having almost periodic states gives a negative answer to a conjecture in [13]: the range of the modular homomorphism  $\delta_M$  can be different from center of  $\text{out } M$ .

Finally for full factors of type III<sub>1</sub> we show that the topology  $\tau(M)$  on  $\mathbb{R}$ , coming from the modular homomorphism  $\delta$  of  $\mathbb{R}$  in the topological group  $\text{Out } M$ , can be any topology associated with a unitary representation of  $\mathbb{R}$ . Let us first recall that an almost periodic weight  $\varphi$  on a von Neumann algebra  $M$  is a faithful semifinite normal weight  $\varphi$  whose modular operator  $\Delta_\varphi$  is diagonal:  $\Delta_\varphi = \sum_{\lambda > 0} \lambda E_\lambda$ .

**PROPOSITION 1.1.** *Let  $\Lambda$  be a subgroup of  $\mathbb{R}_+^*$ ,  $\beta$  the canonical injection of  $\Lambda$  in  $\mathbb{R}_+^*$ ,  $G$  the dual of  $\Lambda$  when  $\Lambda$  is gifted with its discrete topology, and  $\tilde{\beta}$  the transpose of  $\beta$ . Let also  $M$  be a von Neumann algebra,  $\psi$  a faithful semifinite normal weight on  $M$ . The following conditions are then equivalent:*

- (a)  $\psi$  is almost periodic and  $(\text{point spectrum } \Delta_\psi) \subset \Lambda$
- (b) There exists a (necessarily unique, because  $\tilde{\beta}(\mathbb{R})$  is dense in  $G$ ) representation  $\sigma^{\psi, \Lambda}$  of  $G$  in  $M$  such that  $\sigma_{\tilde{\beta}(t)}^{\psi, \Lambda} = \sigma_t^\psi$ ,  $\forall t \in \mathbb{R}$ ;
- (c)  $\psi$  is strictly semifinite and there is a generating subset  $\mathcal{S} \subset M$  such that:  $\forall x \in \mathcal{S}$  the function  $t \rightarrow \sigma_t^\psi(x)$  extends to a  $*$  strongly continuous map from  $G$  to  $M$ .

*Proof.*

- (a)  $\Rightarrow$  (b) See [4] Lemma 2.7.3.
- (b)  $\Rightarrow$  (c) is straightforward, using [2].
- (c)  $\Rightarrow$  (b) By [2] the family  $(\sigma_t^\psi)_{t \in \mathbb{R}}$  of maps from the unit ball of  $M$  to  $M$  with  $*$  strong topology, to itself, is equicontinuous.

Hence for each  $s \in G$  the  $*$  subalgebra of  $M$ :  $\mathcal{O}_s = \{x \in M, \sigma_t^\psi(x) \text{ converges } * \text{ strongly when } \tilde{\beta}(t) \rightarrow s\}$  is strongly closed. By hypothesis each  $\mathcal{O}_s$  contains  $\mathcal{S}$  hence  $\mathcal{O}_s = M$ , for any  $s \in G$ . It is then easy to conclude, using the density of  $\tilde{\beta}(\mathbb{R})$  in  $G$ , that (b) holds.

(b)  $\Rightarrow$  (a) By [4] Lemma 2.1.6 the set of  $x \in M$  which for some  $\lambda \in \Lambda$  satisfy  $\sigma_t^\psi(x) = \lambda^{it}x \forall t \in \mathbb{R}$  is total in  $M$ . This yields the desired diagonalisation of  $\Delta_\psi$ . We note moreover that

$$(1) \quad \text{Point spectrum } \Delta_\psi = \text{Sp } \sigma^{\psi, \Lambda}$$

A  $\Lambda$ -almost periodic weight  $\psi$  on a von Neumann algebra is by definition a faithful semifinite normal weight satisfying the equivalent conditions in Proposition 1.1.

**DEFINITION 1.2.** Let  $M$  be a factor, then the point modular spectrum of  $M$  is the subset of  $\mathbb{R}_+^*$  defined by

$$Sd(M) = \bigcap_{\psi \text{ almost periodic weight on } M} \text{point spectrum } \Delta_\psi$$

THEOREM 1.3. *Let  $M$  be a factor then:*

(a)  $Sd(M) = \bigcap \Gamma(\sigma^\varphi, \mathbb{R}_+^*)$  when  $\varphi$  runs through all almost-periodic weights. (See [4], Section 2).

(b)  $Sd(M)$  is a subgroup of  $\mathbb{R}_+^*$ .

*Proof.* Clearly (a)  $\Rightarrow$  (b) using [4] Theorem 2.2.4. So we need only to prove (a): Let  $G$  be the dual of  $\mathbb{R}_+^*$  when  $\mathbb{R}_+^*$  has its discrete topology and let  $\tilde{\beta}$  be the transpose of  $\beta$ :  $\tilde{\beta}(\lambda) = \lambda$ ,  $\forall \lambda \in \mathbb{R}_+^*$ . Let  $U$  be a representation of  $G$  on  $M$ , with  $U \sim \sigma^\varphi, \mathbb{R}_+^*$ , in the sense of [4] Def. 2.3.3, for some almost-periodic  $\varphi$ . Then ([4] Lemma 3.4.3)  $U \circ \tilde{\beta} \sim \sigma^\varphi$ , hence ([4] Theorem 1.2.4) there exists a semifinite faithful normal weight  $\psi$  on  $M$  such that  $\sigma^\psi = U \circ \tilde{\beta}$ . But (Proposition 1.1)  $\psi$  is then  $\mathbb{R}_+^*$ -almost periodic and (1),  $\text{Sp } U = \text{Sp } \sigma^\psi, \mathbb{R}_+^* =$  point spectrum of  $\Delta_\psi$ . From [4] Proposition 2.3.17 it follows that:

$$\Gamma(\sigma^\varphi, \mathbb{R}_+^*) \supset \bigcap_{\psi \text{ almost periodic}} \text{point spectrum } \Delta_\psi$$

As point spectrum  $\Delta_\psi \subseteq \Gamma(\sigma^\varphi, \mathbb{R}_+^*)$  the equality (a) follows.

*Remark 1.4.* If  $M_*$  is separable and if  $Sd(M) \neq \mathbb{R}_+^*$  then  $Sd(M)$  is countable.

*Proof.* The point spectrum  $\Lambda$  of an almost-periodic weight  $\varphi$  on  $M$  is necessarily countable for  $\Delta_\varphi = \sum \lambda E_\lambda$  where the  $E_\lambda$  are pairwise orthogonal projections in the separable Hilbert space  $\mathcal{H}_\varphi$ .

THEOREM 1.5. *Let  $M$  be a countably decomposable factor of type  $\text{III}_0$ , and  $\Gamma$  be a dense subgroup of  $\mathbb{R}_+^*$ . Then the set of  $\Gamma$ -almost-periodic states on  $M$  is norm dense in the set of normal states on  $M$ .*

*Proof.* Let (See [4] Corollary 5.3.6)  $N$  be a type  $\text{II}_\infty$  von Neumann subalgebra of  $M$  satisfying the following conditions

(a)  $N' \cap M = \text{Center of } N$ .

(b)  $N$  is the range of a normal conditional expectation  $E$ .

(c) There exists an homomorphism  $\epsilon \rightarrow u_\epsilon$  of  $(\mathbb{Z}/2)^{(\mathbb{N})}$  onto a subgroup  $\mathcal{G}$  of the unitary group  $\mathcal{U}(E)$ , and a decreasing sequence of projections  $(e_k)$   $k = 1, 2, \dots$ ,  $e_k \in C$  such that  $N$  and  $\mathcal{G}$  generate  $M$  and that  $e_1 = 1$ ,

$$\sum_{\epsilon=0,1} \text{Ad } u(\underbrace{0, \dots, 0}_k, \epsilon, 0, \dots) e_{k+1} = e_k \quad \forall k = 1, 2, \dots$$

Our first aim is, given a system  $(N, E, u, (e_k))$  to build a faithful normal trace  $\tau'$  on  $N$  such that the weight  $\tau' \circ E$  is  $\Gamma$ -almost periodic.

We let  $\mathbb{R}$  be identified by the map  $\beta$  of Proposition 1.1 to a dense subgroup of the dual group  $G$  of  $\Gamma$ . Also for each  $k$  we put

$$\underline{k} = (\overbrace{0, 0, \dots, 1}^k, 0, \dots) \in (\mathbb{Z}/2)^{(\mathbb{N})}$$

**LEMMA 1.6.** *Let  $\underline{N}$  be a von Neumann algebra of type II<sub>∞</sub>,  $C =$  Center of  $\underline{N}$ .  $\theta \in \text{Aut } \underline{N}$  with  $\theta^2 = 1$ , and  $e \in C$  be a projection with  $e + \theta(e) = 1$ , also  $\tau$  a faithful semifinite normal trace on  $\underline{N}$  and  $\epsilon > 0$ . Then there exists a  $k \in C$ ,  $e^{-\epsilon} \leq k \leq e^\epsilon$  such that, with  $\tau' = \tau(k \cdot)$  the function  $t \rightarrow (D\tau' \circ \theta, D\tau')_t$  extends to a  $*$  strongly continuous mapping from  $G$  to the unitary group of  $C$ .*

*Proof.* We have  $\tau = \tau''(h \cdot)$  where  $\tau''$  is  $\theta$ -invariant and  $h$  is affiliated to  $C$ . Let  $(f_\lambda)$ ,  $\lambda \in \Gamma$  be a family of projections in  $C$  with  $\sum f_\lambda = 1$  and  $e^{-\epsilon} \leq (\sum \lambda f_\lambda) h^{-1} \leq e^\epsilon$ . Put  $k = (\sum \lambda f_\lambda) h^{-1}$  then  $\tau' = \tau(k \cdot)$  is deduced from the  $\theta$ -invariant trace  $\tau''$  by the density  $\sum \lambda f_\lambda$  hence the lemma follows.

Now let  $\tau$  be a semifinite faithful normal trace on  $N$ , and for  $k \in \mathbb{N}$ ,  $\theta_k$  be the restriction of  $\text{Ad } u_k$  to  $N$ . Applying Lemma 1.6 to the restriction of  $\theta_k$  to  $N_{e_k}$  proves the existence of a sequence  $(\rho_n)_{n \in \mathbb{N}}$  of elements of  $C$  with

$$(1) \quad \text{Ad } u_{(\epsilon_1, \epsilon_2, \dots, \epsilon_{n-1}, 0, \dots)} \rho_n = \rho_n, \epsilon_j = 0, 1, j = 1, 2, \dots, n$$

$$(2) \quad e^{-2^{-n}} \leq \rho_n \leq e^{2^{-n}}$$

(3) For each  $n$  the restriction  $\tau'_n$  to  $N_{e_n}$  of  $\tau_n = \tau(\prod_{j=1}^n \rho_j)$  is such that  $(D\tau'_n \circ \theta_n; D\tau'_n)_t$  extends to  $G$  as in Lemma 1.6. Let  $\rho = \prod_{j=1}^\infty \rho_j$ . Condition (1) shows that  $\prod_{j=1}^\infty \rho_j$  is  $\theta_n$  invariant for each  $n$ , hence that, with  $\tau' = \tau(\rho \cdot)$  one has:

$$(D\tau' \circ \theta_n : D\tau') = (D\tau_n \circ \theta_n : D\tau_n)$$

Moreover (3) shows that  $(D\tau_n \circ \theta_n; D\tau_n)_{e_n}$  extends to  $G$ . An induction hypothesis then yields for each  $\epsilon = (\epsilon_1, \epsilon_2, \dots, \epsilon_{n-1}, 0, \dots)$  that  $(D\tau' \circ \theta_\epsilon \circ \theta_n; D\tau' \circ \theta_\epsilon)_{e_n}$  extends to  $G$ , with  $\theta_\epsilon = \prod \theta_j^{\epsilon_j} - (D\tau' \circ \theta_n : D\tau')_{\theta_\epsilon(e_n)}$  hence extends to  $G$ .

As  $(D\tau' \circ \theta_1; D\tau') = (D\tau_1' \circ \theta_1; D\tau_1')$  extends to  $G$ , and as

$$\sum \theta_{(\epsilon_1, \dots, \epsilon_{n-1}, 0)}(e_n) = 1,$$

we see that  $(D\tau' \circ \theta_n; D\tau')$  extends to  $G$  for all  $n$ .

It then follows from condition (c) on the  $u_\epsilon$  and [4] Lemma 1.4.5(a) that condition 1.1(c) is fulfilled by the weight  $\varphi' = \tau' \circ E$  hence that  $\varphi'$  is  $\Gamma$ -almost-periodic.

Our next aim is to show that any normal state  $\varphi$  on  $M$  is a norm limit of states  $\varphi_k$  on  $M$  such that  $\varphi_k \circ E$  is  $\Gamma$ -almost-periodic. We let  $\tau$  be a faithful semifinite normal trace such that  $\tau \circ E$  is  $\Gamma$  almost-periodic and  $h \in L^1(N, \tau)$  such that  $\varphi = \tau(h \cdot)$ . Let  $\lambda > 1$ ,  $\lambda \in \Gamma$ , and for  $n \in \mathbb{Z}$ , let  $p_n$  be the spectral projection of  $h$  corresponding to  $[\lambda^n, \lambda^{n+1}]$ . We may assume  $\varphi$  to be faithful, hence  $h$  to be nonsingular. Then  $\sum p_n = 1$ ,  $p_n \in N$ ,  $\sum \lambda^n p_n \leq h$ ,  $h - \sum \lambda^n p_n \leq (\lambda - 1)h$  and with  $\varphi_\lambda = \tau((\sum \lambda^n p_n) \cdot)$  we have  $\|\varphi_\lambda / \varphi_\lambda(1) - \varphi\| \leq 2(\lambda - 1)$ . Using the density of  $\Gamma$  in  $\mathbb{R}_+^*$  and the fact that  $\varphi_\lambda \circ E$  is  $\Gamma$ -almost-periodic (it is deduced from  $\tau \circ E$  by the density  $\sum \lambda^n p_n$  affiliated to  $M_{\tau \circ E}$ ), we get the desired conclusion.

We shall now end the Proof of Theorem 5. Let  $\psi$  be a normal state on  $M$ , and  $\psi_0$  be a faithful normal state on  $N$ . For each  $k = 1, 2, \dots$ , let  $N_k$  be the von Neumann subalgebra of  $M$  generated by  $N$  and the  $u_{(\epsilon_1, \dots, \epsilon_k, 0, 0, \dots)}$ ,  $\epsilon_j = 0, 1$ . Then it is easy to check that each  $N_k$  satisfies condition (a) (b) (c) above and that  $UN_k$  is dense in  $M$ . Using the Gelfand Segal construction relative to  $\varphi_0 = \psi_0 \circ E$  we see that  $\psi$  is a norm limit of states of the form  $\varphi_0(x \cdot x^*)$ , where  $x$  belongs to  $UN_k$ . But  $\varphi_0$  commutes with  $E_k$  (because  $EE_k = E$ ), and  $E_k x = x$  for  $x \in N_k$ , hence any state  $\varphi_0(x \cdot x^*)$ ,  $x \in N_k$  is of the form  $\varphi_1 \circ E_k$  where  $\varphi_1$  is a state on  $N_k$ . It is then clear that any state  $\varphi_0(x \cdot x^*)$ ,  $x \in N_k$  is a norm limit of  $\Gamma$ -almost periodic states of the form  $\varphi_\lambda \cdot E_k$ .

**COROLLARY 1.7.** *Let  $M$  be a factor, then  $Sd(M) \subset S(M)$ .*

*Proof.* We can assume that  $M$  is countably decomposable. Then if  $M$  is of type I or II, it is clear that  $Sd(M) = \{1\} \subset S(M)$ . If  $M$  is of type  $\text{III}_0$  then theorem 1.5 shows that  $Sd(M) = \{1\}$  is included in  $S(M)$ . If  $M$  is of type  $\text{III}_\lambda$ ,  $\lambda \in ]0, 1[$ , then by [4] Theorem 3.4.1, one has  $Sd(M) \subset \{\lambda^n, n \in \mathbb{Z}\}^- = S(M)$ . Finally if  $M$  is of type  $\text{III}_1$ , the above inclusion is obvious, for  $S(M) = [0, +\infty[$ .

**COROLLARY 1.8.** *Let  $M$  be a Krieger's factor then  $Sd(M) = \{1\}$ .*

*Proof.* Use [5]. This last corollary shows that the invariant  $Sd$  has no interest for Krieger's factors.

## II. ASYMPTOTIC CENTRALISER OF VON NEUMANN ALGEBRAS

We generalize the construction of Mc. Duff [7] for Type III factors. Let  $M$  be a von Neumann algebra,  $M_*$  its predual. For  $x \in M$ ,  $\varphi \in M_*$  let  $x\varphi \in M_*$ ,  $\varphi x \in M_*$ ,  $[x, \varphi] \in M_*$  be such that  $(x\varphi)(y) = \varphi(yx)$ ,  $(\varphi x)(y) = \varphi(xy) \forall y \in M$ ,  $[x, \varphi] = x\varphi - \varphi x$ . For  $x \in M$ ,  $\varphi \in M_*$  we let  $\|x\|_\varphi = (\varphi(x^*x))^{1/2} = \|\prod_\varphi(x) \xi_\varphi\|$  (On the Gelfand Segal construction of  $\varphi$ ) and  $\|x\|_\varphi^\# = \varphi(x^*x + xx^*)^{1/2}$ .

LEMMA 2.1. (the verification is left to the reader). For  $x, y \in M$  and  $\varphi \in M_*^+$ ,  $\varphi(1) = 1$  one has:

- (a)  $\|[x, \varphi]\| = \|[x^*, \varphi]\|$
- (b)  $\|x\varphi\| \leq \|x\|_\varphi$
- (c)  $\|\varphi x\| \leq \|x^*\|_\varphi$
- (d)  $\|[xy, \varphi]\| \leq \|x\| \| [y, \varphi] \| + \|y\| \| [x, \varphi] \|$
- (e)  $\varphi(y^*x^*xy) \leq \|y\| \|x\|^2 \|[y, \varphi]\| + \|y\|^2 \|x\| \|x\|_\varphi$
- (f) If  $\|x\| \leq 1$ ,  $\|y\| \leq 1$  then  $(\|xy\|_\varphi^\#)^2 \leq \|[x, \varphi]\| + \|[y, \varphi]\| + \|x\|_\varphi^\# + \|y\|_\varphi^\#$ .

PROPOSITION 2.2. Let  $M$  be a von Neumann algebra,  $\varphi$  a faithful normal state on  $M$ ,  $\beta\mathbb{N}$  the Stone-Chech compactification of the integers and  $\omega \in \beta\mathbb{N} \setminus \mathbb{N}$ . Then:

- (1) The subset  $A_{\varphi, \omega}$  of  $l^\infty(\mathbb{N}, M)$  of all sequences  $(x_n)_{n \in \mathbb{N}}$  such that  $\|[x_n, \varphi]\| \rightarrow 0$  when  $n \rightarrow \omega$  is a norm closed  $*$  subalgebra of  $l^\infty(\mathbb{N}, M)$ .
- (2) Let  $(x_n)_{n \in \mathbb{N}}, (y_n)_{n \in \mathbb{N}}$  belong to  $l^\infty(\mathbb{N}, M)$  and assume  $x_n - y_n \rightarrow 0$   $*$  strongly when  $n \rightarrow \infty$  then  $(x_n)_{n \in \mathbb{N}} \in A_{\varphi, \omega} \Leftrightarrow (y_n)_{n \in \mathbb{N}} \in A_{\varphi, \omega}$ .
- (3) The functional  $\varphi_\omega, \varphi_\omega((x_n)_{n \in \mathbb{N}}) = \lim_{n \rightarrow \omega} \varphi(x_n)$  is a trace on  $A_{\varphi, \omega}$ .
- (4)  $\varphi_\omega[(x_n)_{n \in \mathbb{N}}^*(x_n)_{n \in \mathbb{N}}] = 0 \Leftrightarrow x_n \rightarrow 0$  strongly when  $n \rightarrow \omega$ .
- (5) The quotient of the  $C^*$ -algebra  $A_{\varphi, \omega}$  by the two-sided ideal  $\mathcal{I}_\omega \cap A_{\varphi, \omega}$ ,  $\mathcal{I}_\omega = \{(x_n)_{n \in \mathbb{N}}, x_n \rightarrow 0 \text{ } *$  strongly when  $n \rightarrow \omega\}$ , is a finite von Neumann algebra noted  $M_{\varphi, \omega}$ .

*Proof.* (1) By construction  $A_{\varphi, \omega}$  is a linear subspace of  $l^\infty(\mathbb{N}, M)$  and using (2.1a) and (2.1d) it is a  $*$  subalgebra of  $l^\infty(\mathbb{N}, M)$ . It is easy to check that if  $(x_n)_{n \in \mathbb{N}} \in \bar{A}_{\varphi, \omega}$  (norm closure) then  $\lim_{n \rightarrow \omega} \|[x_n, \varphi]\| < \epsilon$ ,  $\forall \epsilon > 0$ .

(2) One has  $\|x_n - y_n\|_\varphi^\# \rightarrow 0$  when  $n \rightarrow \omega$  hence  $\|[x_n - y_n, \varphi]\| \rightarrow 0$  when  $n \rightarrow \omega$ , using (2.1b) and (2.1c).

(3) Let  $X = (x_n)_{n \in \mathbb{N}}$ ,  $y = (y_n)_{n \in \mathbb{N}}$  be elements of  $A_{\varphi, \omega}$  then  $\varphi_\omega(XY) = \lim_\omega \varphi(x_n y_n)$ ,  $\varphi_\omega(YX) = \lim_\omega \varphi(y_n x_n)$  so the equality follows from  $\|\varphi y_n - y_n \varphi\| \rightarrow 0$  when  $n \rightarrow \omega$ , using the uniform boundedness of the sequence  $(x_n)_{n \in \mathbb{N}}$ .

(4) The  $*$  strong topology on bounded subsets of  $M$  is the same as the topology defined by  $\|\cdot\|_\varphi^\#$ , which gives the conclusion using (3).

(5) One has for  $X \in A_{\varphi, \omega}$ , the equivalence  $X \in \mathcal{J}_\omega \Leftrightarrow \varphi_\omega(X^*X) = 0$  so that  $\mathcal{J}_\omega \cap A_{\varphi, \omega}$  is a two-sided ideal in  $A_{\varphi, \omega}$  and is norm closed. Let  $M_{\varphi, \omega} = A_{\varphi, \omega} / \mathcal{J}_\omega \cap A_{\varphi, \omega}$  and  $\rho_{\omega, \varphi}$  (noted  $\rho_\omega$  if no confusion can arise) the canonical quotient map.

We just have to prove (using [11]) that the unit ball of the  $C^*$ -algebra  $M_{\varphi, \omega}$  is complete for the norm  $\|x\|_2 = \varphi_\omega(X^*X)^{1/2}$  where  $\rho_\omega(X) = x$ ; as the functional  $\tau = \varphi_\omega \circ \rho_\omega^{-1}$  is a faithful trace on  $M_{\varphi, \omega}$ . For convenience, given  $x \in M_{\varphi, \omega}$  we call a sequence  $(x_n)_{n \in \mathbb{N}} \in l^\infty(\mathbb{N}, M)$  a representing sequence of  $x$  when  $\rho_\omega((x_n)_{n \in \mathbb{N}}) = x$ . Let  $x^{(p)}$  be a sequence of elements of  $M_{\varphi, \omega}$  such that:

$$\|x^{(p)}\| < 1, \quad \|x^{(p+1)} - x^{(p)}\|_2 < 2^{-(p+1)}$$

Let  $(x_n^{(1)})_{n \in \mathbb{N}}$  be a representing sequence for  $x^{(1)}$  such that  $\|x_n^{(1)}\| < 1$  for any  $n$ . Let  $(x_n^{(2)})_{n \in \mathbb{N}}$  be a representing sequence for  $x^{(2)}$  such that  $\|x_n^{(2)}\| \leq 1 \forall n$ , and  $\|x_n^{(2)} - x_n^{(1)}\|^\# < 2^{-1}$  for all  $n$ . Inductively choose a representing sequence  $(x_n^{(j)})_{n \in \mathbb{N}}$  of  $x^{(j)}$  with:

$$\|x_n^{(j)}\| < 1 \quad \forall j, n, \quad \|x_n^{(j+1)} - x_n^{(j)}\|_\varphi^\# < 2^{-j} \quad \forall j, n$$

Put  $x_n = *$  strong limit of  $x_n^{(j)}$  when  $j \rightarrow \infty$ . Then for any  $j, n$   $\|x_n - x_n^{(j)}\|_\varphi^\# \leq 2 \cdot 2^{-j}$  so that  $\lim \| [x_n, \varphi] \| \leq 2^2 \cdot 2^{-j}$  and  $(x_n)_{n \in \mathbb{N}} \in A_{\varphi, \omega}$ . As  $\|\rho_\omega((x_n)_{n \in \mathbb{N}}) - x^{(j)}\|_2 \leq 2^{1-j}$  we see that  $x = \rho_\omega((x_n)_{n \in \mathbb{N}})$  is a limit for the Cauchy sequence  $x^{(j)}$ , and finally that the unit ball of  $M_{\varphi, \omega}$  is complete.

**PROPOSITION 2.3.** *Let  $M$  be a von Neumann algebra,  $\varphi$  a faithful normal state on  $M$ , and  $I$  a directed ordered set.*

(1) *Let  $(x_j)_{j \in I}$  be a bounded family of elements of  $M$  such that  $\|[x_j, \varphi]\| \rightarrow 0$ ,  $j \rightarrow \infty$  then  $\|\sigma_{t^\varphi}(x_j) - x_j\|_\varphi^\# \rightarrow 0$  uniformly on bounded subsets of  $\mathbb{R}$ .*

(2) *If 1 is an isolated point in  $\text{Sp } \Delta_\varphi$ , and if  $E_\omega$  is the conditional expectation from  $M$  to  $M_\omega$  then for any bounded sequence  $(x_j)_{j \in I}$  of elements of  $M$  such that  $\|[x_j, \varphi]\|_{j \rightarrow \infty} \rightarrow 0$  one has  $\|x_j - E_\omega(x_j)\|^\# \rightarrow 0$ .*



(1) We shall provide several estimates which can be useful on other occasions:

LEMMA 2.4. *Let  $t \in \mathbb{R}$  then there is an absolute constant  $C_t$  such that for any von Neumann algebra  $P$ , any couple  $\varphi, \psi$  of faithful normal states on  $P$  one has*

$$|1 - \varphi((D\psi : D\varphi)_t)| \leq C_t \|\psi - \varphi\|$$

*Proof.* Assume on the opposite that for each  $n$  there exists a von Neumann algebra  $P_n$ , and a couple  $\varphi_n, \psi_n$  with

$$\|\varphi_n - \psi_n\| \leq 2^{-n} |1 - \varphi_n(D\psi_n : D\varphi_n)_t|$$

Using repetitions if necessary we can then assume that

$$\sum \|\varphi_n - \psi_n\| < \infty \quad \text{while} \quad \sum |1 - \varphi_n((D\psi_n : D\varphi_n)_t)| = \infty$$

Then consider the Gelfand Segal construction  $\mathcal{H}_n, \xi_n$  relative to  $\varphi_n$  on  $P_n$  and let  $\eta_n \in \mathcal{H}_n, \langle \eta_n, \xi_n \rangle \geq 0, \|\eta_n - \xi_n\|^2 \leq \|\varphi_n - \psi_n\|, \omega_{\eta_n} = \psi_n$ . Put  $P = \bigotimes_{i=1}^{\infty} (P_n, \varphi_n)$ , acting in  $\mathcal{H} = \bigotimes_{i=1}^{\infty} (\mathcal{H}_n, \xi_n)$ . Let  $\Phi_k = \psi_1 \otimes \cdots \otimes \psi_k \otimes \varphi_{k+1} \otimes \cdots$ . Then when  $k \rightarrow \infty$ ,  $\Phi_k$  is a norm convergent sequence in  $P_*$ , because  $(\eta_1 \otimes \cdots \otimes \eta_k \otimes \xi_{k+1})_{k=1,2,\dots}$  is a norm convergent sequence in  $\mathcal{H}$ .

So using [1] or [3] we see that  $(D\Phi_k; D\varphi)_t$  is a strongly convergent sequence in  $P$ , so that:

$$(D\psi_1 : D\varphi_1)_t \otimes \cdots \otimes (D\psi_n : D\varphi_n)_t \otimes 1 \otimes \cdots$$

has to be a strongly convergent sequence in  $P$ . But this contradicts the divergence of the serie  $\sum |1 - \langle (D\psi_n : D\varphi_n)_j \xi_n, \xi_n \rangle|$ .

LEMMA 2.5. *Let  $t \in \mathbb{R}$ ,  $M$  and  $\varphi$  as in Proposition 2.3,  $C_t$  as in Lemma 2.4, then for any unitary  $v \in M$  one has*

$$(\|\sigma_t^{\omega}(v) - v\|_{\varphi}^*)^2 \leq 4C_t \|[v, \varphi]\|$$

*Proof.* Apply Lemma 2.4 to  $\varphi_v = v^* \varphi v$  and  $\varphi$  on  $M$ , using the equality  $(D\varphi_v : D\varphi)_t = v^* \sigma_t^{\omega}(v)$ . It yields  $\|(v - \sigma_t^{\omega}(v)) \xi_{\varphi}\|^2 \leq 2C_t \|\varphi_v - \varphi\|$ .

LEMMA 2.6. *Let  $t \in \mathbb{R}$ ,  $\varphi$  be a faithful normal state on a von Neumann algebra  $M$ ,  $C_t$  as in Lemma 2.4.*

- (a)  $\forall x \in M, 0 \leq x \leq 1/2$  one has  $\|[1 - x^2]^{1/2}, \varphi\| \leq 2/3 \|[x, \varphi]\|$   
 (b)  $\forall x \in M, \|x\| \leq 1$  one has  $\|\sigma_t^\varphi(x) - x\|_\varphi^\# \leq 16C_t^{1/2} \|[x, \varphi]\|^{1/2}$

*Proof.* (a) For each  $n$  one has  $\|[x^n, \varphi]\| \leq n \|x\|^{n-1} \|[x, \varphi]\|$  (2.1d)) hence  $\|[x^n, \varphi]\| \leq n \cdot 2^{-n+1} \|[x, \varphi]\|$ . Then

$$\begin{aligned} \|[1 - x^2]^{1/2}, \varphi\| &\leq \sum_{n=0}^{\infty} \left| \frac{1/2(1/2 - 1) \cdots (1/2 - n)}{(n+1)!} \right| \|[x^{2n+2}, \varphi]\| \\ &\leq \sum_{n=0}^{\infty} 2^{-(2n+1)} \|[x, \varphi]\| = 2/3 \|[x, \varphi]\| \end{aligned}$$

(b) Put  $\|[x, \varphi]\| = \epsilon$ . Then put  $a = (x + x^*)/2, b = (x - x^*)/2i$ . One has  $\|[a, \varphi]\| \leq \epsilon, \|[b, \varphi]\| \leq \epsilon, 0 \leq (1+a)/4 \leq 1/2, 0 \leq (1+b)/4 \leq 1/2$ . And with  $u_1 = (1+a)/4 + i(1 - ((1+a)/4)^2)^{1/2}, u_2 = u_1^*$  it follows from (a) that  $\|[u_j, \varphi]\| \leq 2 \|[1+a]/4, \varphi\| \leq \epsilon/2$  for  $j = 1, 2$ . Hence (2.5) we get:

$$\begin{aligned} \|\sigma_t^\varphi(u_j) - u_j\|_\varphi^\# &\leq 2^{1/2} C_t^{1/2} \epsilon^{1/2}, \\ \|\sigma_t^\varphi(a) - a\|_\varphi^\# &= 2 \left\| \sigma_t^\varphi \left( \frac{1+a}{2} \right) - \left( \frac{1+a}{2} \right) \right\|_\varphi^\# \\ &= 2 \|\sigma_t^\varphi(u_1 + u_2) - (u_1 + u_2)\|_\varphi^\# \leq 8C_t^{1/2} \epsilon^{1/2}. \end{aligned}$$

Also  $\|\sigma_t^\varphi(b) - b\|_\varphi^\# \leq 8C_t^{1/2} \epsilon^{1/2}$  and using  $x = a + ib$  we get (2.6b).

**LEMMA 2.7.** *There exists a constant  $C_0 < \infty$  such that for any von Neumann algebra  $M$ , and any faithful normal state  $\varphi$  on  $M$  one has:*

$$\|\sigma_t^\varphi(x) - x\|_\varphi^\# \leq C_0(1 + |t|) \|[x, \varphi]\|^{1/2}$$

*Proof.* Put  $K(t) = \inf \lambda, \|\sigma_t^\varphi(x) - x\|_\varphi^\# \leq \lambda \|[x, \varphi]\|^{1/2}, \forall M, \varphi, x$ . Then  $K$  is lower semicontinuous,  $K(-t) = K(t) \forall t \in \mathbb{R}, K(0) = 0, K(t+t') \leq K(t) + K(t')$  so that  $K(t) \leq C_0(1 + |t|)$ , for some  $C_0 > 0$ . The proof of 2.3.1 is immediate using Lemma 2.7.

(2) Let  $f \in L^1(\mathbb{R}), x \in M, \|x\| \leq 1$  then assume  $\int f(t) dt = 1$

$$\begin{aligned} \|\sigma^\varphi(f)x - x\|_\varphi^\# &= \left\| \int_{\mathbb{R}} (\sigma_t^\varphi(x) - x) f(t) dt \right\|_\varphi^\# \\ &\leq \int_{\mathbb{R}} C_0(1 + |t|) |f(t)| dt \|[x, \varphi]\|^{1/2}. \end{aligned}$$

So for  $f \in L^1(\mathbb{R}), \int |t| |f(t)| dt < \infty$  we get an inequality

$$\|\sigma^\varphi(f)x - x\|_\varphi^\# \leq C_f \|[x, \varphi]\|^{1/2}, \quad \forall x \in M, \|x\| \leq 1.$$

Now choose  $f$  such that  $\text{Support } \hat{f} \cap Sp \Delta_\varphi = \{1\}$ . It follows that  $\sigma^\varphi(f)x = E_\varphi(x)$  (Use  $\sigma^\varphi(f) M \subset M_\varphi$ ) for any  $x \in M$  hence (2).

**PROPOSITION 2.8.** *Let  $M$  be a countably decomposable von Neumann algebra,  $I$  be an ordered directed set, and  $(x_j)_{j \in I}$  be a uniformly bounded family of elements of  $M$  then the following conditions are equivalent:*

- ( $\alpha$ ) *There exists a faithful  $\varphi \in M_*^+$  and a weakly dense subset  $\mathcal{S} \subset M$  with  $\|[x_j, \varphi]\| \rightarrow_{j \rightarrow \infty} 0$ ,  $\|[x_j, y]\| \rightarrow_{j \rightarrow \infty} 0$  strongly  $\forall y \in \mathcal{S}$ .*
- ( $\beta$ ) *There exists a total subset  $\mathcal{D} \subset M_*$  such that  $\forall \psi \in \mathcal{D}$ ,  $\|[x_j, \psi]\| \rightarrow_{j \rightarrow \infty} 0$ .*
- ( $\gamma$ )  *$\|[x_j, \psi]\| \rightarrow_{j \rightarrow \infty} 0, \forall \psi \in M_*$  and  $\|[x_j, y]\| \rightarrow_{j \rightarrow \infty} 0$  strongly,  $\forall y \in M$ .*

*Proof.* ( $\gamma$ )  $\Rightarrow$  ( $\alpha$ ) is clear. Let us prove ( $\alpha$ )  $\Rightarrow$  ( $\beta$ ). Take  $\epsilon > 0$ ,  $x, y \in M$  such that  $\|[x, y]\|_\varphi < \epsilon$  and  $\|[x, \varphi]\| < \epsilon$  then for any  $z \in M$  we have:

$$|\varphi(zxy - zyx)| \leq \|z\| \epsilon, \quad |\varphi(zyx - xzy)| \leq \epsilon \|z\| \|y\|$$

hence  $|\varphi(y\varphi x)(z) - \varphi(x(y\varphi))(z)| \leq \epsilon(1 + \|y\|) \|z\|$ . And it follows easily that for each  $y \in \mathcal{S}$ , and  $\psi = y\varphi \in M_*$  we have  $\|[y, x_j]\| \rightarrow 0$  when  $j \rightarrow \infty$ , hence ( $\beta$ ). ( $\beta$ )  $\Rightarrow$  ( $\gamma$ ) It follows from the following inequality:  $a, x \in M, \|a\| \leq 1, \|x\| \leq 1$

$$|\varphi([a, x]^* [a, x])| \leq 4 \text{Sup } \|\varphi, x\|, \|[a\varphi, x]\|, \|[a\varphi, x^*]\|, \quad \forall \varphi \in M_*^+$$

We assume that, with  $\epsilon > 0$ , we have  $\|\varphi, x\| < \epsilon$ ,  $\|[a\varphi, x]\| < \epsilon$ ,  $\|[a\varphi, x^*]\| < \epsilon$  then for any  $y \in M$  the following inequalities are true:

$$|\varphi(xy - yx)| \leq \epsilon \|y\|, \quad |\varphi(xya) - \varphi(yxa)| \leq \epsilon \|y\|, \\ |\varphi(x^*ya) - \varphi(yx^*a)| \leq \epsilon \|y\|$$

hence  $|\varphi(a^*x^*xa) - \varphi(xa^*x^*a)| \leq \epsilon$ ,  $|\varphi(xa^*x^*a) - \varphi(a^*x^*ax)| \leq \epsilon$  which gives:

$$|\varphi(a^*x^*[x, a])| = |\varphi(a^*x^*(xa - ax))| = |\varphi(a^*x^*xa) - \varphi(a^*x^*ax)| \leq 2\epsilon.$$

Moreover

$$|\varphi(x^*a^*ax) - \varphi(xx^*a^*a)| \leq \epsilon \quad \text{and} \quad |\varphi(x^*a^*xa) - \varphi(xx^*a^*a)| \leq \epsilon$$

so that  $|\varphi(x^*a^*[a, x])| \leq 2\epsilon$ .

**THEOREM 2.9.** *Let  $M$  be a countably decomposable von Neumann algebra and  $\omega \in \beta\mathbb{N}/\mathbb{N}$ .*

- (1) *The quotient of the  $C^*$ -algebra  $A_\omega \subset l^\infty(\mathbb{N}, M)$  of all sequences  $(x_n)_{n \in \mathbb{N}}$  such that  $\| [x_n, \varphi] \| \rightarrow 0$ ,  $n \rightarrow \omega$ ,  $\forall \varphi \in M_*$  by the two-sided ideal of sequences converging  $*$  strongly to 0 when  $n \rightarrow \omega$ , is a finite von Neumann algebra  $M_\omega$  (called asymptotic centraliser of  $M$  at  $\omega$ ).*
- (2) *For any automorphism  $\theta \in \text{Aut } M$ , the automorphism  $(x_n) \rightarrow (\theta(x_n))$  of  $A_\omega$  defines an automorphism  $\theta_\omega$  of  $M_\omega$ , and  $\theta_\omega$  depends only on the canonical image  $\epsilon(\theta)$  of  $\theta$  in  $\text{Out } M$ .*
- (3) *For any  $t \in \mathbb{R}$  one has  $(\delta(t))_\omega = 1$  where  $\delta$  is the modular homomorphism.*

*Proof.* (1) By construction  $A_\omega = \bigcap A_{\varphi, \omega}$ ,  $\varphi$  faithful normal state on  $M$ . Let  $\varphi$  be a given faithful normal state on  $M$  and  $\mathcal{D}$  the set of faithful normal states on  $M$  with  $\alpha\varphi \leq \psi \leq \alpha^{-1}\varphi$  for some  $\alpha > 0$ . Then  $A_\omega = \bigcap_{\psi \in \mathcal{D}} A_{\psi, \omega}$  (Use 2.8). Moreover considering  $M_\omega$  as a subset of  $M_{\varphi, \omega}$  we get, using (2.2.2):

$$M_\omega = \bigcap_{\psi \in \mathcal{D}} \rho_{\omega, \psi}(A_{\varphi, \omega} \cap A_{\psi, \omega})$$

As on  $\rho_{\omega, \psi}(A_{\varphi, \omega} \cap A_{\psi, \omega})$  the norms corresponding to  $\lim_\omega \varphi(x_n * x_n)^{1/2}$  and  $\lim_\omega \psi(x_n^* x_n)$  are equivalent it is easy to conclude that  $M_\omega$  is a weakly closed  $*$  subalgebra of  $M_\omega$  hence a von Neumann algebra.

(2) We just have to show that for any unitary  $u \in M$  and any sequence  $(x_n)_{n \in \mathbb{N}} \in A_\omega$  one has  $ux_n u^* - x_n \rightarrow_{n \rightarrow \omega} 0$   $*$  strongly, which follows from Proposition 2.8.

(3) Follows from Proposition (2.3.1).

As an application we shall prove:

**THEOREM 2.10.** (a) *Let  $\lambda \in ]0, 1[$  then there exists a factor of Type  $\text{II}_1$   $N_0$  acting in a separable Hilbert space, having  $\lambda$  in its fundamental group but  $1 \notin \text{tr}_\infty(N_0)$  (i.e.,  $N_0 \otimes R_1$  not isomorphic to  $N_0$ ).*

(b) *Let  $\lambda \in ]0, 1[$  then there exists a factor of type  $\text{II}_\infty$  such that the set  $C_\lambda$  of conjugacy classes in  $\text{Out } N$  of elements  $j$  such that  $\gamma(f) = \lambda$  contains at least two elements.*

*Proof.* (a) Let  $P_\lambda$  be the Pukanszky's factor of type  $\text{III}_\lambda$ . By construction there exists a finite measure space  $\Omega$ ,  $\mu$  and an ergodic group  $\mathcal{G}$  of non singular transformations of  $\Omega$ ,  $\mu$  such that  $P_\lambda = W^*(\mathcal{G}, \Omega)$ . Now let  $I(L^\infty(\Omega, \mu))$  be the canonical abelian maximal subalgebra of  $P_\lambda$ ,  $E$  the corresponding conditional expectation from  $P_\lambda$ ,  $\varphi = \mu \circ I^{-1} \circ E$  the faithful normal state on  $P_\lambda$  corresponding

to  $\mu$ , and for  $s \in \mathcal{G}$ ,  $U_s$  the corresponding unitary in  $P_\lambda$ . From [4] page 207 and [12] page 193 it follows that if  $g_1, g_2$  are the two generators of the free group  $G_2$  and  $s_1 = \Phi_{g_1}$ ,  $s_2 = \Phi_{g_2}$  ([4] page 207) are the corresponding elements of  $\mathcal{G}$  one has:

- (1)  $\text{Sp } \Delta_\omega = \{\lambda^n, n \in \mathbb{Z}\}^-$
- (2)  $\forall x \in P_\lambda, |\varphi(x)|^2 \geq \|x\|_\varphi^2 - 5.14^2 \sup_{j=1,2} \|[x, U_{s_j}]\|^2$
- (3)  $U_{s_j} \in (P_\lambda)_\omega \quad j = 1, 2$

Let  $N_0 = (P_\lambda)_\omega$  then in  $P_\lambda \otimes \mathcal{L}(\mathcal{H})$ ,  $N_0 \otimes \mathcal{L}(\mathcal{H})$  is the centraliser of the weight  $\varphi \otimes \text{Trace}$  which is generalized trace on  $P_\lambda \otimes \mathcal{L}(\mathcal{H})$  (see [4]), hence by [4] 4.4.5, we have  $\lambda \in \text{Fundamental group of } N_0$ . Let  $\omega \in \beta\mathbb{N} \setminus \mathbb{N}$  and  $(x_n)_{n \in \mathbb{N}} \in A_\omega(N_0)$ . Then by Proposition 2.8 one has  $[x_n, y] \rightarrow_{n \rightarrow \omega} 0 * \text{strongly}, \forall y \in N_0$ .

But as the  $U_{s_j}, j = 1, 2$  belong to  $N_0$  it is easy to conclude from (2) that there exists a sequence  $\lambda_n \in \mathbb{C}$  such that  $x_n - \lambda_n \rightarrow 0 * \text{strongly}$  hence that  $N_{0,\omega} = \mathbb{C}$ . Assertion (a) follows easily [7].

**LEMMA 2.11.** *Let  $Q_1$  be a factor,  $\varphi_0, \dots, \varphi_p$  be faithful normal states on  $Q_1$ ,  $b_1, \dots, b_p$  be elements of  $Q_1$  such that for some  $K > 0$ , and any  $\epsilon > 0$ , any  $x \in Q_1$ :  $\|[x, b_j]\|_{\varphi_j} < \epsilon, \forall j = 1, \dots, p \Rightarrow \|x - \varphi_0(x)\|_{\varphi_0} \leq K\epsilon$  then*

(a) *For any von Neumann algebra with separable predual  $Q_2$  and any faithful normal state  $\varphi$  on  $Q_2$ , any  $X \in Q_2 \otimes Q_1$  one has*

$$\|X - (1 \otimes \varphi_0)(X)\|_{\varphi \otimes \varphi_0}^2 \leq K^2 \sum_{k=1}^p \|[X, 1 \otimes b_k]\|_{\varphi \otimes \varphi_k}^2$$

(b) *For any  $Q_2$  like in (a) and any  $\omega \in \beta\mathbb{N} \setminus \mathbb{N}$  the canonical homomorphism  $\pi_\omega$  corresponding to  $\pi: x \in Q_2 \rightarrow 1_{Q_1} \otimes x$  is an isomorphism of  $Q_{2,\omega}$  onto  $(Q_1 \otimes Q_2)_\omega$ .*

*Proof.* (a) Let  $a_1, a_2, \dots, a_n, \dots$  be an orthonormal basis of the pre-Hilbert space  $Q_2$  with scalar product  $(x, y) \rightarrow \varphi(y^*x)$ , such that the linear span of the  $a_j$  is a  $*$  subalgebra of  $Q_2$  (Use the Schmidt orthogonalisation process).

The algebraic tensor product of this  $*$  algebra by  $Q_1$  is a dense subalgebra of  $Q_2 \otimes Q_1$  hence we can assume that:

$$X = \sum_{j=1}^n a_j \otimes x_j, \quad x_j \in Q_1, \quad j = 1, 2, \dots, n.$$

Then

$$[X, 1 \otimes b_k] = \sum_{j=1}^n a_j \otimes [x_j, b_k] \quad \text{and} \quad \|[X, 1 \otimes b_k]\|_{\varphi \otimes \varphi_k}^2 = \sum_{j=1}^n \|[x_j, b_k]\|_{\varphi_k}^2$$

As for any  $x \in Q_1$  we have  $\|x - \varphi_0(x)\|_{\varphi_0}^2 \leq K \sum_1^{2p} \|[x, b_k]\|_{\varphi_k}^2$ , it yields

$$\sum_j \|x_j - \varphi_0(x_j)\|_{\varphi_0}^2 \leq K^2 \sum_k \sum_j \|[x_j, b_k]\|_{\varphi_k}^2$$

hence

$$\sum_j \|a_j \otimes (x_j - \varphi_0(x_j))\|_{\varphi \otimes \varphi_0}^2 \leq K^2 \sum_k \|[X, 1 \otimes b_k]\|_{\varphi \otimes \varphi_k}^2$$

and hence conclusion (a).

(b) Let  $(X_n)_{n \in \mathbb{N}}$  be a uniformly bounded sequence of elements of  $Q_2 \otimes Q_1$  such that  $\|[X_n, \psi]\| \rightarrow 0_{n \rightarrow \omega}$ ,  $\forall \psi \in (Q_2 \otimes Q_1)_*$ , then by Proposition 2.8, one has  $[X_n, Y] \rightarrow 0_*$  strongly for any  $Y \in Q_2 \otimes Q_1$  hence by (a)  $X_n - (1 \otimes \varphi_0) X_n \rightarrow_{n \rightarrow \omega} 0$  strongly. Also

$$X_n^* - (1 \otimes \varphi_0) X_n^* \xrightarrow{n \rightarrow \omega} 0$$

strongly so that the sequence  $Y_n = (1 \otimes \varphi_0) X_n$  which belongs to  $Q_2 \otimes \mathbb{C}$  yields the same elements of  $(Q_2 \otimes Q_1)_\omega$  as the sequence  $(X_n)_{n \in \mathbb{N}}$ .

(2.10b) From (2), using the equality  $\|x - \varphi(x)\|_\varphi^2 = \varphi(x^*x) - |\varphi(x)|^2$  it follows that  $N_0$  satisfies the hypothesis of Lemma 2.11. Let  $F_\infty$  be a factor of type  $I_\infty$ ,  $(e_{ij})_{i,j \in \mathbb{Z}}$  be a system of matrix units in  $F_\infty$  and for  $x \in F_\infty$ ,  $(x_{ij})_{i,j \in \mathbb{Z}}$  be the matrix components of  $x$ . Then the following inequalities show that  $F_\infty$  satisfy the hypothesis of Lemma 2.11, with  $\lambda_j = 2^{-j}$ ,  $j \geq 0$ ,  $\lambda_j = 2^{j+1/2}$ ,  $j < 0$ .

$$\sum_{i \neq j} |x_{ij}|^2 2^{-3|j|} \leq 5 \sum_{i,j} |x_{ij}(\lambda_i - \lambda_j)|^2 2^{-|j|}$$

$$\sum_j |x_{jj} - x_{0,0}|^2 2^{-3|j|} \leq \sum_{i,j} |x_{i+1,j+1} - x_{i,j}|^2 2^{-2|j|}$$

So let  $N = N_0 \otimes F_\infty \otimes R_1$  where  $R_1$  is the hyperfinite factor of type  $II_1$ , it follows from Lemma 2.11 that for each  $\omega \in \beta\mathbb{N} \setminus \mathbb{N}$  the homomorphism  $\pi$ ,  $x \in R_1 \rightarrow 1_{N_0} \otimes 1_{F_\infty} \otimes x$  defines an isomorphism  $\pi_\omega$  of  $R_{1,\omega}$  onto  $N_\omega$ . Let  $\theta_1$  be an automorphism of  $N_0 \otimes F_\infty$  such that  $\gamma(\theta_1) = \lambda$  (See a)), and  $\theta = \theta_1 \otimes 1$  the corresponding automorphism of  $N$ . Clearly using  $\pi_\omega$  one has  $\theta_\omega = 1$ . Let  $\alpha$  be an automorphism of  $R_1$  such that  $\alpha_\omega \neq 1$  (For instance write  $R_1 = R_{1,1} \otimes R_{1,1}$

and take  $\alpha(x \otimes y) = y \otimes x$  then put  $\theta' = \theta \circ (\text{Identity}_{N_0 \otimes F_\infty} \otimes \alpha)$ , we get  $\theta'_\omega \neq 1$  using  $\pi_\omega$ , though  $\gamma(\theta') = \lambda$ .

**THEOREM 2.12.** *Let  $M$  be a factor of type III<sub>0</sub> then for any  $\omega \in \beta\mathbb{N}/\mathbb{N}$  and has  $M_\omega \neq \mathbb{C}$  and even Center of  $M_\omega \neq \mathbb{C}$ .*

*Proof.* Identify  $M$  with  $P \otimes F_\infty$  where  $P$  is a factor isomorphic to  $M$ . Let [4] Lemma 5.2.4,  $\varphi_0$  be a faithful normal state on  $P$  such that 1 is isolated in  $\text{Sp } \Delta_{\varphi_0}$ . Let  $(x_n)_{n \in \mathbb{N}}$  be a uniformly bounded sequence of elements of  $M$  such that  $\|[x_n, \psi]\|_{n \rightarrow \omega} \rightarrow 0$ ,  $\forall \psi \in M_*$ . Then Lemma 2.11 shows that there exists a sequence  $(y_n)_{n \in \mathbb{N}}$  of elements of  $P$  such that  $x_n - (y_n \otimes 1)_{n \rightarrow \omega} \rightarrow 0$  \* strongly. It follows that  $\|[y_n, \varphi_0]\|_{n \rightarrow \omega} \rightarrow 0$  hence (Proposition 2.3) that there exists a sequence  $(z_n)_{n \in \mathbb{N}}$ ,  $z_n \in P_{\varphi_0}$  such that  $x_n - (z_n \otimes 1)_{n \rightarrow \omega} \rightarrow 0$  \* strongly. Let  $\varphi_0 = \varphi_0 \otimes \text{Trace}$ , it is a faithful semifinite normal weight on  $M$  which satisfies the conditions of Lemma 5.3.2 of [4] on  $M$ . It hence follows from [4] p. 235–238 that the centralizer  $M_\varphi = N$  of  $\varphi$  in  $M$  satisfies conditions (a)(b)(c) in the proof of Theorem 1.5.

To finish the proof of 2.12 we need only construct a sequence  $(v_n)_{n \in \mathbb{N}}$  of elements of the center of  $M_\varphi = N$ , a faithful normal state  $\psi_0$  on  $M$  such that (a)  $\|[v_n, \psi_0]\|_{n \rightarrow \infty} \rightarrow 0$ , (b) there exists a strongly dense subset  $\mathcal{S}$  of  $M$  such that  $[v_n, y]_{n \rightarrow \omega} \rightarrow 0$  strongly  $\forall y \in \mathcal{S}$ , (c)  $\psi_0(v_n) = 0$  for all  $n$ . We use the same notations as in the proof of Theorem 1.5 and we let  $\psi$  be a faithful normal state on  $N = M_\varphi$ , and  $\psi_0 = \psi \circ E$ . For each  $n$  there exists a unitary  $v_n \in C$  such that  $\psi(v_n) = 0$  and  $\text{Ad } u_{(\epsilon_1, \dots, \epsilon_n, 0, \dots, 0, \dots)} v_n = v_n$  for all  $\epsilon_j = 0, 1$ . Then as  $v_n \in M_{\psi_0}$  (because  $v_n \in N_\psi$ ) the sequence  $(v_n)$  satisfies requirements (a)(b)(c).

### III. COMPLETENESS OF THE GROUP OF INNER AUTOMORPHISMS

**THEOREM 3.1.** *Let  $M$  be a von Neumann algebra with separable predual,  $C = \text{Center } M$ , then the following conditions are equivalent*

(a)  *$\text{Int } M$  is a closed subgroup of  $\text{Aut } M$  where  $\text{Aut } M$  has the topology of pointwise norm convergence in  $M_*$ .*

(b) *The homomorphism  $u \rightarrow \text{Ad } u$  from the unitary group  $\mathcal{U}(M)$ , gifted with strong topology, to  $\text{Aut } M$ , gifted with topology of pointwise norm convergence in  $M_*$ , is open on its range ( $\text{Int } M$ ).*

(c) *For any strong neighborhood  $\mathcal{V}$  of 0 in  $M$  there exists  $\varphi_1, \dots, \varphi_n \in M_*$  and  $\epsilon > 0$  such that  $\forall u \in \mathcal{U}(M)$ ,  $\|u\varphi_j u^* - \varphi_j\| < \epsilon \Rightarrow u \in \mathcal{U}(C) + \mathcal{V}$ .*

(d) For any ordered directed set  $I$  and any bounded family  $(x_j)_{j \in I}$  of elements of  $M$  such that  $\|[x_j, \varphi]\| \rightarrow 0, j \rightarrow \infty$  there exists a bounded family  $(z_j)_{j \in I}$  of elements of  $C$  such that  $x_j - z_j \rightarrow_{j \rightarrow \infty} 0$   $*$  strongly

(c) Same statement as (d) with  $I = \mathbb{N}$ , the integers in their usual order.

The topology of pointwise norm convergence in  $M_*$  on  $\text{Aut } M$ , coincides with the topology of uniform weak convergence in  $M$ . It has already been fully discussed in the literature [1], [8]. Following [8] we shall call it the  $u$ -topology. It is clear that gifted with the  $u$ -topology,  $\text{Aut } M$  is a topological group.

LEMMA 3.2. Let  $M$  be a von Neumann algebra with separable predual, and on  $\text{Aut } M$  let the  $u$ -uniform structure be the sup of the right and left uniform structures of  $\text{Aut } M$  with  $u$ -topology. Then with  $u$ -uniform structure  $\text{Aut } M$  is a complete separable metric space.

*Proof.* Apply the results of [1] and [8].

LEMMA 3.3. Let  $M$  be a von Neumann algebra with separable predual, let  $\mathcal{U}(M)$  be the topological group of unitaries of  $M$  with the strong topology, let  $u \rightarrow \underline{u}$  be the canonical open homomorphism of  $\mathcal{U}(M)$  onto  $\underline{\mathcal{U}}(M) = \mathcal{U}(M)/\mathcal{U}(C)$  where  $C = \text{Center of } M$ .

(a) Let  $(\mathcal{V}_n)_{n=1,2,\dots}$  be a basis of neighborhoods of 0 in  $M$  for the strong topology, then  $(\mathcal{W}_n)_{n=1,2,\dots}, \mathcal{W}_n = \{\underline{u}, u \in \mathcal{U}(M) \cap \mathcal{V}_n + \mathcal{U}(C)\}$  is a basis of neighborhoods of 1 in  $\underline{\mathcal{U}}(M)$ .

(b) There exists on  $\underline{\mathcal{U}}(M)$  a metric, compatible with the topology, which makes it into a complete separable space.

*Proof.* (a) The typical neighborhood of 1 in  $\underline{\mathcal{U}}(M)$  is  $\mathcal{W}$  where  $\mathcal{W} = \mathcal{U}(C) \times \mathcal{U}(M) \cap (1 + \mathcal{V})$  where  $\mathcal{V}$  is a strong neighborhood of 0 in  $M$ . As one can assume that  $u\mathcal{V} = \mathcal{V}, \forall u \in \mathcal{U}(M)$  we get  $\mathcal{W} = \mathcal{U}(M) \cap (\mathcal{U}(C) + \mathcal{V})$ .

(b) Let  $d$  be a metric on  $\mathcal{U}(M)$  corresponding to the sup of left and right uniform structures. Then  $\mathcal{U}(M)$  is a complete separable metric space. Then clearly  $d(u, v) = \inf_{\underline{u}'=u, \underline{v}'=v} d(u', v')$  is a metric on  $\underline{\mathcal{U}}(M)$ , yielding the quotient topology, under which  $\underline{\mathcal{U}}(M)$  is complete and separable. We now state a known lemma whose proof is included for completeness.

LEMMA 3.4. Let  $G_1$  and  $G_2$  be topological groups, polish as topological spaces and  $f$  be a continuous bijective homomorphism of  $G_1$  onto  $G_2$ , then  $f^{-1}$  is continuous.



*Proof.* For each Borel subset  $A$  of  $G_1$ ,  $f(A)$  is analytic as well as  $f(A)^c$  hence  $f(A)$  is Borel. In particular  $f^{-1}$  has the Baire's property: there exists a meager subset  $\mathcal{M} \subset G_2$  such that  $f^{-1}/\mathcal{M}^c$  is continuous. Take  $v_n \rightarrow_{n \rightarrow \infty} v_0$ , where  $v_n \in G_2$ ,  $n = 0, 1, 2, \dots$ . There exists  $u \in \bigcap_{n=0,1} \mathcal{M}^c v_n^{-1}$ , hence such that  $uv_n \notin \mathcal{M}$ ,  $n = 0, 1, \dots$ . Then  $f^{-1}(uv_n) \rightarrow_{n \rightarrow \infty} f^{-1}(uv_0)$  hence  $f^{-1}(v_n) \rightarrow_{n \rightarrow \infty} f^{-1}(v_0)$ .

*Proof of (a)  $\Rightarrow$  (b).* Assume that  $\text{Int } M$  is closed in  $\text{Aut } M$ . Then the  $u$ -topology makes it into a polish topological group and the map  $u \in \mathcal{U}(M) \rightarrow \text{Ad } u \in \text{Int } M$  is a bijective homomorphism of  $\mathcal{U}(M)$  onto  $\text{Int } M$  which is obviously continuous. So Lemma 3.4 shows that this mapping is open on its range hence that (a)  $\Rightarrow$  (b).

(b)  $\Rightarrow$  (c) By hypothesis when  $\text{Ad } u \rightarrow 1$  in  $\text{Aut } M$ ,  $u \rightarrow 1$  in  $\mathcal{U}(M)$ . Hence (c) holds using Lemma (3.3a).

(c)  $\Rightarrow$  (d) One can assume  $0 \leq x_j \leq 1/2 \ \forall j \in I$ , and writing  $2x_j = (x_j + i\sqrt{1-x_j^2}) + (x_j - i\sqrt{1-x_j^2})$  one can then assume that  $x_j$  is unitary for each  $j$  (use the estimate (2.6a)). But then  $\| [x_j, \varphi] \| = \| \varphi \circ \text{Ad } x_j - \varphi \|$ ,  $\forall \varphi \in M_*$ , hence  $\text{Ad } x_j \rightarrow 1$  in  $\text{Aut } M$  so that, using (c), there exists a sequence  $(z_j)$ ,  $z_j \in C$  such that  $x_j - z_j \rightarrow 0$  strongly when  $n \rightarrow \infty$ .

(e)  $\Rightarrow$  (a) Let  $M$  act in the separable Hilbert space  $\mathcal{H}$  with  $(\xi_j)_{j=1,2}$  a dense in  $\mathcal{H}$ . Let  $\mathcal{V}_n = \{x \in M, \|x\xi_j - \xi_j\| \leq 2^{-n}, j < n\}$  and for each  $n$  let  $\mathcal{W}_n$  be a neighbourhood of 1 in  $\text{Aut } M$ , such that (using e)

$$u \in \mathcal{U}(M), \text{ Ad } u \in \mathcal{W}_n \Rightarrow u \in \mathcal{U}(C) + \mathcal{V}_n$$

Let  $\theta \in \overline{\text{Int } M}$ , and  $v_n \in \mathcal{U}(M)$  be such that  $\text{Ad } v_{n+1}^{-1} v_n \in \mathcal{W}_n$ ,  $\forall n = 1, 2, \dots$  and  $\text{Ad } v_n \rightarrow \theta$  when  $n \rightarrow \infty$ . Choose for each  $n$ , a  $u_n \in \mathcal{U}(M)$  such that  $u_n = v_n$  and  $u_{n+1} - u_n \in \mathcal{V}_n$ . Then  $u_n$  converges strongly to some  $u \in M$ , and  $u$  is an isometry such that  $ux = \theta(x)u$ .  $\forall x \in M$  so that  $\theta$  is inner ([4] 1.3).

**DEFINITION 3.5.** A von Neumann algebra satisfying equivalent conditions in 3.1 will be called a full von Neumann algebra.

This name refers to the completeness of the group of inner automorphisms.

**COROLLARY 3.6.** Let  $M$  be a factor with separable predual then:  $M$  is full  $\Leftrightarrow M_\omega = \mathbb{C}$  for some  $\omega \in \beta\mathbb{N} \setminus \mathbb{N} \Leftrightarrow M_\omega = \mathbb{C} \ \forall \omega \in \beta\mathbb{N} \setminus \mathbb{N}$ .

*Proof.* If  $M$  is full then condition 3.1(d) immediately implies

$M_\omega = \mathbb{C} \forall \omega \in \beta\mathbb{N} \setminus \mathbb{N}$ . If  $M$  is not full, let  $\varphi$  be a faithful normal state on  $M$ ,  $\epsilon > 0$ ,  $(x_n)_{n=1,2}$  be a bounded sequence of elements of  $M$  such that  $\|[x_n, \psi]\| \rightarrow_{n \rightarrow \infty} 0$  for all  $\psi \in M_*$  but  $\|x_n - \mathbb{C} \frac{\varphi}{\|\varphi\|} \geq \epsilon$  for all  $n \in \mathbb{N}$ . Then  $\forall \omega \in \beta\mathbb{N} \setminus \mathbb{N}$ ,  $\rho_\omega((x_n))$  is a non scalar element of  $M_\omega$ .

**COROLLARY 3.7.** *Let  $M$  be a factor with separable predual then (all central sequences in  $M$  are trivial)  $\Rightarrow M$  is full.*

*Proof.* We shall prove that  $M$  satisfies (3.1e). By Proposition 2.8  $\|[x_n, \varphi]\| \rightarrow_{n \rightarrow \infty} 0, \forall \varphi \in M^*$  implies that  $(x_n)_{n \in \mathbb{N}}$  is a central sequence so that for some sequences of scalars  $(\lambda_n)_{n \in \mathbb{N}}$ ,  $x_n - \lambda_n \rightarrow 0$  strongly when  $n \rightarrow \infty$ ,  $x_n^* - \mu_n \rightarrow_{n \rightarrow \infty} 0$  strongly hence  $x_n - \lambda_n \rightarrow 0$  \* strongly using an auxiliary state to show that  $\lambda_n - \bar{\mu}_n \rightarrow_{n \rightarrow \infty} 0$ .

**COROLLARY 3.8.** *Let  $M$  be a factor of type  $\text{II}_1$  with separable predual then  $M$  is full  $\Leftrightarrow M$  does not have property  $\Gamma$ .*

*Proof.* If  $M$  has property  $\Gamma$  there are non trivial central sequences on  $M$  hence non trivial sequences  $(x_n)_{n \in \mathbb{N}}$ , such that  $\|[x_n, \varphi]\| \rightarrow_{n \rightarrow \infty} 0, \forall \varphi \in M_*$  (Proposition 2.8). Conversely assume that  $M$  is not full, let  $\omega \in \beta\mathbb{N} \setminus \mathbb{N}$  we want to show that  $M_\omega$  does not have any minimal projection (See [7]). As  $M_\omega \neq \mathbb{C}$ , let  $e \in M_\omega$  be a non trivial projection, let  $\tau$  be the canonical trace on  $M$  and  $\tau_\omega$  the corresponding trace on  $M_\omega$ . Then  $\tau_\omega(e) = \lambda \in ]0, 1[$  and there exists a representing sequence  $(e_n)_{n \in \mathbb{N}}$  for  $e$  with  $e_n$  projection of  $M, \forall n$ , and  $\tau(e_n) = \lambda, \forall n$  (See [7]). Obviously for each  $x \in M$  and each central sequence  $(x_n)_{n \in \mathbb{N}}$  one has  $\tau(xx_n) \sim \tau(x) \tau(x_n)$  when  $n \rightarrow \infty$ . Applying this we can choose a subsequence  $(e_{k_n})_{n=1,2}$  of  $(e_n)_{n=1,2}$  such that:

- (a)  $\|[e_n, e_{k_n}]\|_2 < 1/n \forall n,$       (b)  $|\tau(e_n e_{k_n}) - \lambda^2| < 1/n$
- (c)  $\|[e_{k_n}, \varphi]\| \rightarrow 0$  when  $n \rightarrow \omega, \forall \varphi \in M_*$ .

Then  $\rho_\omega((e_n e_{k_n})_{n \in \mathbb{N}})$  is a projection in  $M_\omega$  which is strictly between 0 and  $e$ , hence showing that  $e$  cannot be minimal. It follows that an arbitrary maximal abelian subalgebra of  $M_\omega$  is non atomic and hence that there exists a projection  $f \in M_\omega$  with  $\tau_\omega(f) = 1/2$ . Then  $2f - 1$  is a unitary  $u \in M_\omega$  which has trace 0. Let  $(f_n)$  be a representing sequence for  $f$ , with  $f_n$  projection  $\forall n$ . Then  $(u_n)_{n \in \mathbb{N}}$ , with  $u_n = 2f_n - 1$  is a sequence of unitaries in  $M$ ,  $[u_n, v] \rightarrow_{n \rightarrow \omega} 0$  strongly  $\forall v \in M$ , and  $\tau(u_n) \rightarrow_{n \rightarrow \omega} 0$ . It follows immediately that  $M$  has property  $\Gamma$  of von Neumann. In the general case we do not know if  $M$  is full  $\Leftrightarrow M$  does not have property  $L$  of Pukanszky.

**PROPOSITION 3.9.** *Let  $M$  be a factor of type  $\text{III}_0$ , then  $M$  is not*

full, in fact, for any semi-finite faithful normal weight  $\varphi$  on  $M$ , one has:

$$\sigma_t^\varphi \in \overline{\text{Int } M}$$

*Proof.* There exists (see the proof of Theorem 1.5) an increasing sequence of von Neumann subalgebras  $N_k \subset M$  such that:

- (1)  $N_k$  is semifinite and  $N_k' \cap M \subset N_k$
- (2)  $N_k$  is the range of a (necessarily unique) normal conditional expectation  $E_k$
- (3)  $\bigcup_{k=1}^\infty N_k$  is strongly dense in  $M$ .

Let  $\varphi$  be a faithful normal state on  $N_1$ , and  $\varphi_0 = \varphi \circ E_1$ , then  $\sigma_t^{\varphi_0}$  leaves  $N_k$  globally invariant and is inner on  $N_k$  (because  $\varphi_0 \circ E_k = \varphi_0$ ) so that there exists a sequence of unitaries  $u_k \in N_k \cap M_{\varphi_0}$  such that

$$\text{Ad } u_k(x) \rightarrow \sigma_t^{\varphi_0}(x) \text{ * strongly } \forall x \in \bigcup_{k=1}^\infty N_k$$

It follows easily from  $\varphi_0 \circ \text{Ad } u_k = \varphi_0$  that  $\sigma_t^{\varphi_0} = \lim_{k \rightarrow \infty} \text{Ad } u_k$  in  $\text{Aut } M$ .

**PROPOSITION 3.9.** *Let  $P$  be a von Neumann algebra acting in a separable Hilbert space  $\mathcal{H}$ , with cyclic and separating vector  $\xi_0$ . Let  $G_2$  be the free group of 2 generators  $s_1, s_2$  and  $N = \bigotimes_{s \in G_2} (P, \xi_0)$ ,  $\pi_s$  for  $s \in G_2$  being the canonical injection  $x \rightarrow \cdots 1 \otimes x \otimes 1 \cdots$  of  $P$  in  $N$ . Let  $\theta$  be the representation of  $G_2$  on  $N$  such that:*

$$\theta_s \pi_{s'}(x) = \pi_{ss'}(x) \quad \forall s, s' \in G_2.$$

Finally let  $M = \mathcal{W}^*(G_2, N)$  be the cross product of  $N$  by  $G_2$ , let  $I$  be the canonical injection of  $N$  in  $M$ ;  $s \rightarrow U_s$  the canonical injection of  $G_2$  in the unitary group of  $M$  and  $E$  the conditional expectation of  $M$  onto  $I(N)$ .

- (a) For each  $s \in G_2$ ,  $U_s$  is in the centraliser of the state  $\psi \in M_*$   $\psi(x) = \omega_{\eta_0}(I^{-1}(E(x)))$ ,  $\forall x \in M$  where  $\eta_0 = \bigotimes_{s \in G_2} \xi_0$ .
- (b)  $\forall x \in M$  one has  $\|x - \psi(x)\|_\psi \leq 28 \sum_{j=1}^2 \|[x, U_{s_j}]\|_\psi$ .
- (c) The modular operator  $\Delta_{\psi, M}$  of  $\psi$  relative to  $M$  is, up to multiplicity, the infinite tensor product of the  $\Delta_{\xi_0, P}$  acting in  $\bigotimes_{s \in G_2} (\mathcal{H}, \xi_0)$

*Proof.* (a) By construction  $\omega_{\eta_0}$  is  $\theta_s$ -invariant for each  $s \in G_2$  hence ([4] Proposition 1.3)  $\psi$  is  $\text{Ad } U_s$  invariant for each  $s \in G_2$  and  $U_s \in M_\psi$ .

LEMMA 3.10. *Let  $\mathcal{H} = \bigotimes_{s \in G_2} (\mathcal{H}, \xi_0)$ ;  $\eta_0 = \bigotimes_{s \in G_2} \xi_0$ , then  $\forall x \in N$*

$$\| \langle x\eta_0, \eta_0 \rangle \eta_0 - x\eta_0 \| \leq 14 \sum_{j=1,2} \| (\theta_{s_j}(x) - x) \eta_0 \|$$

*Proof.* Let  $\mathcal{B}$  be an orthonormal basis of  $\mathcal{H}$  containing  $\xi_0$  and  $\mathcal{B}^{(G_2)}$  be the set of all maps  $g$  from  $G_2$  to  $\mathcal{B}$  which except on a finite subset of  $G_2$  satisfy  $g(s) = \xi_0$ . For each  $g \in \mathcal{B}^{(G_2)}$  put  $\xi_g = \bigotimes_{s \in G_2} g(s)$  and note that  $(\xi_g)_{g \in \mathcal{B}^{(G_2)}}$  is an orthonormal basis for  $\mathcal{H}$ . For  $g \in \mathcal{B}^{(G_2)}$  and  $s \in G_2$ , put  $g_s, g_s(t) = g(s^{-1}t) \forall t \in G_2$ . Then  $g \rightarrow g_s$  is a bijection of  $\mathcal{B}^{(G_2)}$  onto  $\mathcal{B}^{(G_2)}$  and it defines a unitary  $V_s$  in  $\mathcal{H}$ ;  $V_s \xi_g = \xi_{g_s} \forall g \in \mathcal{B}^{(G_2)}$ . It is easy to check that  $\theta_s(x) = V_s x V_s^*$ ,  $s \in G_2$ , as well as  $V_s \eta_0 = \eta_0$ . Now the action of  $G_2$  on  $\mathcal{B}^{(G_2)}$  is free except on  $g = \xi_0$ , for, assume  $g \in \mathcal{B}^{(G_2)}$ ,  $g(s_0) \neq \xi_0$ ,  $g_s = g$  with  $s_0, s \in G_2$  then  $g(s^{-k}s_0) \neq \xi_0 \forall k = 1, 2, \dots$ , which if  $s \neq 1$  contradicts  $(g(t) = \xi_0$  except on a finite subset of  $G_2)$ . Let  $g \in \mathcal{B}^{(G_2)}$ ,  $g \neq \xi_0$ . As  $s_1 \neq s_2 \Rightarrow g_{s_1} \neq g_{s_2} \Rightarrow \xi_{g_{s_1}} \perp \xi_{g_{s_2}}$  we have  $f \in l^2(G_2)$  where  $f(s) = \langle x\eta_0, \xi_{g_s} \rangle, \forall s$ . Then Lemma 4.3.20 in [12] yields:

$$\sum_{s \in G_2} |\langle x\eta_0, \xi_{g_s} \rangle|^2 \leq (14)^2 \sum_{s \in G_2} \sum_{j=1,2} |\langle V_{s_j} x\eta_0, \xi_{g_s} \rangle - \langle x\eta_0, \xi_{g_s} \rangle|^2$$

Adding the inequalities corresponding to each orbit of  $G_2$  in  $\mathcal{B}^{(G_2)}$  yields:

$$\sum_{g \in \mathcal{B}^{(G_2)}, g \neq \xi_0} |\langle x\eta_0, \xi_g \rangle|^2 \leq (14)^2 \sum_{j=1,2; g \neq \xi_0} |\langle (V_{s_j} x\eta_0) - x\eta_0, \xi_g \rangle|^2$$

Hence

$$\| x\eta_0 - \langle x\eta_0, \eta_0 \rangle \eta_0 \|^2 \leq (14)^2 \sum_{j=1,2} \| (\theta_{s_j}(x) - x) \eta_0 \|^2.$$

*Proof of (b).* To avoid cumbersome notations we put  $I(x) = x \forall x \in N$ . Let  $y \in M$ , then  $y$  is a sum of a strongly convergent sequence, where  $x_s \in N$ ,  $y = \sum_{s \in G_2} x_s U_s$  and  $\|y\|_\psi^2 = \sum \| \theta_s(x_s) \eta_0 \|^2 = \sum \| x_s \eta_0 \|^2$  (We have used the  $\theta$ -invariance of  $\omega_{\eta_0}$ ). Then

$$\begin{aligned} \|[y, U_{s_j}]\|_\psi^2 &= \| U_{s_j}^{-1} y U_{s_j} - y \|_\psi^2 = \left\| \sum_s \theta_{s_j}(x_s) U_{s_j^{-1}ss_j} - \sum_t x_t U_t \right\|_\psi^2 \\ &= \sum \| (x_s - \theta_{s_j}(x_{s_j^{-1}ss_j})) \eta_0 \|^2. \end{aligned}$$

For  $s \in G_2$  we put  $f(s) = \| x_s \eta_0 \|^2$ .

Clearly  $f \in \ell^2(G_2)$  and

$$\begin{aligned} \sum |f(s_j^{-1}ss_j) - f(s)|^2 &= \sum \|x_s\eta_0 - x_{s_j^{-1}ss_j}\eta_0\|^2 \\ &= \sum \|x_s\eta_0 - \theta_{s_j}(x_{s_j^{-1}ss_j})\eta_0\|^2 \\ &\leq \sum \|x_s\eta_0 - \theta_{s_j}(x_{s_j^{-1}ss_j})\eta_0\|^2 = \|[y, U_{s_j}]\|_{\psi}^2 \end{aligned}$$

hence Lemma 4.3.3 of [12] yields:

$$\sum_{s \neq 1} \|x_s\eta_0\|^2 \leq (14)^2 \sum_{j=1,2} \|[y, U_{s_j}]\|_{\psi}^2$$

which means that  $\forall y \in M$  one has:

$$\|y - E(y)\|_{\psi} \leq 14 \sum_{j=1,2} \|[y, U_{s_j}]\|_{\psi}$$

Moreover one has:

$$\|x_1 - \theta_{s_j}(x_1)\|_{\omega_{\eta_0}} \leq \|[y, U_{s_j}]\|_{\psi}$$

hence by Lemma 3.4

$$\|x_1\eta_0 - \omega_{\eta_0}(x_1)\eta_0\| \leq 14 \sum_{j=1,2} \|[y, U_{s_j}]\|_{\psi}$$

which implies

$$\|E(y) - \psi(E(y))\|_{\psi} \leq 14 \sum_{j=1,2} \|[y, U_{s_j}]\|_{\psi}$$

and as  $\psi \circ E = \psi$  we get

$$\|y - \psi(y)\|_{\psi} \leq 28 \sum_{j=1,2} \|[y, U_{s_j}]\|_{\psi}.$$

*Proof of (c).* Let  $M$  act in a Hilbert space  $\mathcal{H}_{\psi}$  and  $\xi_{\psi} \in \mathcal{H}_{\psi}$  be cyclic and separating for  $M$  with  $\omega_{\xi_{\psi}} = \psi$ . Put

$$\mathcal{K}_1 = \overline{I(N)\xi_{\psi}}, \quad \mathcal{K}_s = U_s\mathcal{K}_1 \quad \forall s \in G_2.$$

Then for  $s \neq s', s, s' \in G_2$ ,  $\mathcal{K}_s$  is orthogonal to  $\mathcal{K}_{s'}$ , moreover

$$\mathcal{H}_{\psi} = \bigoplus_{s \in G_2} \mathcal{K}_s$$

As  $I(N)$  is globally invariant under  $\sigma_t^\psi$ ,  $\forall t \in \mathbb{R}$ , we see that  $\mathcal{K}_1$  is invariant under  $\Delta_\psi$  and that the restriction of  $\Delta_\psi$  of  $\mathcal{K}_1$  is unitarily equivalent to  $\Delta_{\eta_0, N}$  using the unitary equivalence of the triplets  $(\mathcal{K}, N, \eta_0)$  and  $(\mathcal{K}_1, I(N), \xi_\psi)$ . As  $U_s$  commutes with  $\Delta_\psi$ ,  $\forall s \in G_2$  (Use a)), we see that, up to multiplicity,  $\Delta_\psi$  is equivalent to  $\Delta_{\eta_0, N} = \bigotimes_{v \in G_2} \Delta_{\xi_0, P}$ .

**COROLLARY 3.10.** *There exist full factors of type I,  $\text{II}_1$ ,  $\text{II}_\infty$ ,  $\text{III}_\lambda$ ,  $\lambda \neq 0$ .*

*Proof.* Obviously from 3.9(b) the von Neumann algebras constructed in 3.9 are full factors. Moreover as  $M_\psi$  contains  $U_{s_1}$  and  $U_{s_2}$  it is a factor hence it follows from [4] Corollary 3.2.5b) that for each  $\lambda \in ]0, 1]$  there exists a full factor of type  $\text{III}_\lambda$ . The cases  $\text{II}_1$ ,  $\text{II}_\infty$  follow from section 2 and the other cases are trivial.

#### IV. FULL FACTORS WITH ALMOST PERIODIC STATES

In all this section,  $M$  is a full factor with separable predual. To Compute  $Sd(M)$  we shall use the following :

**THEOREM 4.1.** *Let  $\Gamma$  be a denumerable subgroup of  $\mathbb{R}_+^*$ ,  $\varphi$ , an  $\Gamma$ -almost periodic weight on  $M$ , then:*

$$Sd(M) = \Gamma(\sigma^{\varphi, \Gamma}) = \bigcap_{\substack{e \text{ point spectrum } \Delta_{\varphi e} \\ e \text{ projection} \in M_\varphi, e \neq 0.}} \Delta_{\varphi e}$$

This formula is to compare to [4] 3.2.1. However, it is not true in general, for non full factors. The fundamental lemma is:

**LEMMA 2.** *Let  $M$  and  $\Gamma$  as in Theorem 3.1,  $\beta$ ,  $G$ ,  $\tilde{\beta}$  as in 1.1, and  $\varphi_1$ ,  $\varphi_2$  be  $\Gamma$ -almost periodic weights on  $M$ . Let  $G$  act on the unitary group  $\mathcal{U}(M)$  by means of  $\sigma^{\varphi, \Gamma}$ .*

*Then there exists a cocycle  $v \in Z^1(G, \mathcal{U}(M))$ , strongly continuous in  $s \in G$  such that  $\sigma_s^{\varphi_2, \Gamma} = \text{Ad } v_s \cdot \sigma_s^{\varphi_1, \Gamma} \forall s \in G$ .*

*Proof.* Let  $s \in G$ ,  $t_n \in \mathbb{R}$  be such that  $\tilde{\beta}(t_n) \rightarrow s$ . Then  $\sigma_{\tilde{\beta}(t_n)}^{\varphi_1, \Gamma} \rightarrow \sigma_s^{\varphi_1, \Gamma}$  in the topology on  $\text{Aut } M$  of pointwise norm convergence in  $M_*$ . Hence  $\sigma_s^{\varphi_2, \Gamma} (\sigma_s^{\varphi_1, \Gamma})^{-1}$  is the limit in this topology of  $\sigma_{t_n}^{\varphi_2, \Gamma} (\sigma_{t_n}^{\varphi_1, \Gamma})^{-1} = \text{Ad } u_{t_n}$  where  $u_t = (D\varphi_2 : D\varphi_1)_t$  (See [4]). But by Theorem 3.1, the group of inner automorphisms of  $M$  is closed, so that  $\forall s \in G$ ,  $\sigma_s^{\varphi_2, \Gamma} (\sigma_s^{\varphi_1, \Gamma})^{-1} \in \text{Int } M$ . For each  $s \in G$ , let  $F_s$  be the set of unitaries in  $M$  such that  $\sigma_s^{\varphi_2, \Gamma} = \text{Ad } v \sigma_s^{\varphi_1, \Gamma}$ . We know that  $F_s$  is non empty for any  $s$ ,

hence there exists a Borel map  $s \rightarrow w_s$  from  $G$  to  $\mathcal{U}(M)$  (with the strong topology) such that  $w_s \in F_s$ ,  $\forall s \in G$  (See [6]). For  $s, s'$  in  $G$  one gets  $w_s \sigma_s^{\varphi_1, \Gamma}(w_{s'}) w_{s+s'}^* \in \text{Center of } M$ , hence there exists a Borel map  $\gamma$  from  $G^2$  to  $T_1 = \{z \in \mathbb{C}, |z| = 1\}$  such that:

- (1)  $w_{s+s'} = \gamma(s, s') w_s \sigma_s^{\varphi_1, \Gamma}(w_{s'}) \quad \forall (s, s') \in G^2$
- (2)  $\gamma(s, t) \gamma(r+s, t)^{-1} \gamma(r, s+t) \gamma(r, s)^{-1} = 1, \forall r, s, t \in G$

We shall now show that  $\gamma(s, s') = \gamma(s', s)$ ,  $\forall s, s' \in G$ . To see this let  $\mathcal{H}_{\varphi_1}$ ,  $\Delta_{\varphi_1}$  correspond to  $\varphi_1$ , as usual, and let  $u_t = (D\varphi_2 : D\varphi_1)_t$ , for  $t \in \mathbb{R}$ . For  $t_1, t_2 \in \mathbb{R}$  one has:

$$u_{t_1} \Delta_{\varphi_1}^{it_1} u_{t_2} \Delta_{\varphi_1}^{it_2} = u_{t_1} \sigma_{t_1}^{\varphi_1}(u_{t_2}) \Delta_{\varphi_1}^{i(t_1+t_2)} = u_{t_1+t_2} \Delta_{\varphi_1}^{i(t_1+t_2)}$$

$$u_{t_2} \Delta_{\varphi_1}^{it_2} u_{t_1} \Delta_{\varphi_1}^{it_1} = u_{t_2+t_1} \Delta_{\varphi_1}^{i(t_1+t_2)} = u_{t_1} \Delta_{\varphi_1}^{it_1} u_{t_2} \Delta_{\varphi_1}^{it_2}$$

so that the  $u_t \Delta_{\varphi_1}^{it}$  generate an abelian von Neumann subalgebra  $\mathcal{O}$  of  $\mathcal{L}(\mathcal{H}_{\varphi_1})$ . Let  $s \in G$ ,  $t_n \in \mathbb{R}$  be such that  $s_n = \tilde{\beta}(t_n) \rightarrow s$  when  $n \rightarrow \infty$ . Then  $\text{Ad } u_{t_n} \rightarrow \text{Ad } v_s$  for the topology of norm pointwise convergence in  $M_*$  so that (Theorem 3.1b)) there exists a sequence  $(\lambda_n)_{n \in \mathbb{N}}$ ,  $\lambda_n \in T_1$  such that  $\lambda_n u_{t_n} \rightarrow v_s *$  strongly when  $n \rightarrow \infty$ . It follows that, with  $\Delta_{\varphi_1} = \sum_{\lambda \in \Gamma} \lambda E_\lambda$ ,  $\Delta_{\varphi_1}^{(s)} = \sum_{\lambda \in \Gamma} (s, \lambda) E_\lambda$ , one has:

$$v_s \Delta_{\varphi_1}^{(s)} = \lim_{n \rightarrow \infty} \lambda_n u_{t_n} \Delta_{\varphi_1}^{(s_n)} = \lim_{n \rightarrow \infty} \lambda_n u_{t_n} \Delta_{\varphi_1}^{it_n} \in \mathcal{O}$$

Hence

$$v_s \Delta_{\varphi_1}^{(s)} v_{s'} \Delta_{\varphi_1}^{(s')} = v_s \Delta_{\varphi_1}^{(s')} v_{s'} \Delta_{\varphi_1}^{(s)}$$

for any  $s, s' \in G$  and

$$\gamma(s, s') = \gamma(s', s), \quad \forall s, s' \in G'.$$

Now this means that the extension of  $T_1$  by  $G$  corresponding to  $\gamma$  is Abelian and hence splits ([10]). It follows that one can choose the  $v_s$  forming a 1-cocycle, hence 4.2 follows.

*Proof of Theorem 4.1.* Let  $\psi$  be another almost periodic weight on  $M$ , and let  $\Gamma$  be a denumerable subgroup of  $\mathbb{R}_+^*$  containing point Spect.  $\Delta_\varphi$  and p. Sp.  $\Delta_\psi$ ; then  $\varphi$  and  $\psi$  are  $\Gamma$ -almost periodic and Lemma 3.2 shows that  $\sigma^{\varphi, \Gamma} \sim \sigma^{\psi, \Gamma}$  in the sense of [4] def. 2.3.3. Then by Theorem 2.2.4 of [4] one has  $\Gamma(\sigma^{\varphi, \Gamma}) = \Gamma(\sigma^{\psi, \Gamma})$  hence Theorem 3.1 follows from formula 1.

*Remark 4.3.* Let  $M$ ,  $\Gamma$ ,  $\varphi_1$ ,  $\varphi_2$  and  $v \in Z^1(G, \mathcal{U}(M))$  be as in Lemma 4.2, and let  $u_t = (D\varphi_2 : D\varphi_1)_t$ ,  $\forall t \in \mathbb{R}$ . Then there exists  $\lambda \in \mathbb{R}_+^*$  such that  $v_{\beta(t)} = \lambda^i u_t$ . In particular  $(D\lambda\varphi_2 : D\varphi_1)$  then extends to  $G$ , but it is not true in general that  $(D\varphi_2 : D\varphi_1)_t$  itself extends to  $G$ .

**COROLLARY 4.4.** *Let  $\Lambda$  be an arbitrary denumerable subgroup of  $\mathbb{R}_+^*$  then there exists a (full) factor  $M$  acting in a separable Hilbert space such that*

$$\text{Sd}(M) = \Lambda.$$

*Proof.* In fact we shall construct explicitly a map  $\Lambda \rightarrow M(\Lambda)$ . Let  $\Lambda$  be given, put  $(P_\Lambda, \varphi_\Lambda) = \bigotimes_{\lambda \in \Lambda} (R_\lambda, \varphi_\lambda)$  where  $R_\lambda$  is the Powers factor of type  $\text{III}_\lambda$  and  $\varphi_\lambda$  is the canonical product state on  $R_\lambda$ .

Each  $\varphi_\lambda$  is almost periodic with  $\text{Sp } \Delta_{\varphi_\lambda} = \{\lambda^n, n \in \mathbb{Z}\}$ , hence it is easy to conclude that  $\varphi_\Lambda$  is almost periodic with

$$\text{point spectrum } \Delta\varphi_\Lambda = \Lambda.$$

Now let  $M_\Lambda$  be the full factor corresponding to the couple  $P_\Lambda, \varphi_\Lambda$  by Proposition 3.9 with  $\omega_{\varepsilon_0} = \varphi_\Lambda$ . Let also  $\psi_\Lambda$  be the corresponding faithful normal state on  $M_\Lambda$ .

By Proposition 3.9,  $\Delta_{\psi_\Lambda}$  is a diagonal operator so that  $\psi_\Lambda$  is almost periodic. By Proposition 3.9(c) one has point spectrum  $\psi_\Lambda = \Lambda$ . Finally by Proposition 3.9 the relative commutant of the centraliser  $M_{\psi_\Lambda}$  of  $\psi_\Lambda$  in  $M_\Lambda$  is reduced to  $\mathbb{C}$  hence  $M_{\psi_\Lambda}$  is a factor. Hence it follows from [4] 2.2.2(b) that  $\Gamma(\sigma^{\psi_\Lambda, \Gamma}) = \text{Sp}(\sigma^{\psi_\Lambda, \Gamma}) = \Lambda$  and from Theorem 4.1 that  $\text{Sd}(M_\Lambda) = \Lambda$ .

**COROLLARY 4.5.** *The Borel space of isomorphism classes of factors of type  $\text{III}_1$  acting in a separable Hilbert space is not countably separated.*

*Proof.* Let  $\mathcal{B}$  be the Borel space obtained dividing  $\mathbb{R}$  by the relation  $t_1 \sim t_2$  iff  $\mathbb{Q}t_1 + \mathbb{Q} = \mathbb{Q}t_2 + \mathbb{Q}$ . Then  $\mathcal{B}$  is not countably separated. Put  $\Gamma_t = \{e^\alpha, \alpha \in \mathbb{Q}t + \mathbb{Q}\}$ . We shall admit that the map  $t \rightarrow M_{\Gamma_t}$  is Borel. Now if  $t_1 \not\sim t_2$  the factor  $M_{\Gamma_{t_1}}$  is not isomorphic to  $M_{\Gamma_{t_2}}$  for  $\text{Sd}(M_{\Gamma_t}) = \Gamma_t$ . If  $t_1 \sim t_2$  by [9], theorem 4.1 p. 111 the couples  $(P_{\Gamma_{t_1}}, \varphi_{\Gamma_{t_1}}), (P_{\Gamma_{t_2}}, \varphi_{\Gamma_{t_2}})$  are isomorphic so that  $M_{\Gamma_{t_2}}$  is isomorphic to  $M_{\Gamma_{t_1}}$ . Hence  $t \rightarrow M_{\Gamma_t}$  defines an injection of  $\mathcal{B}$  into the Borel space of isomorphism classes of factors of type  $\text{III}_1$ .

**COROLLARY 4.6.** *There are type  $\text{III}_1$  factors for which*

$$\text{Center of Out } M \neq \delta_M(\mathbb{R})$$



*Proof.* Let  $\Gamma$  be a dense subgroup of  $\mathbb{R}_+^*$ ,  $M$  a full factor of type  $\text{III}_1$  and  $\varphi$  a  $\Gamma$ -almost periodic weight on  $M$ . As  $M$  is full,  $\text{Out } M = \text{Aut } M / \text{Int } M$  is hausdorff. Put  $\delta_M(s) = \lim_{t \rightarrow s} \delta_M(t)$  for all  $s \in G$  (where  $\beta$  is noted as identity).

Then  $\delta_M(G) \subset \text{Center of Out } M$  is a compact subgroup of  $\text{Out } M$  so that Lemma 3.4 prevents the injective map  $t \in \mathbb{R} \rightarrow \delta_M(t) \in \delta_M(G)$  to be surjective.

**THEOREM 4.7.** *Let  $M$  be a full factor with separable predual, with  $\text{Sd}(M) = \Gamma \neq \mathbb{R}$ .*

(1) *There exists an almost periodic weight  $\varphi$  such that*

$$\text{Sd}(M) = \text{point spectrum } \Delta_\varphi$$

(2) *Let  $\varphi_1$  and  $\varphi_2$  be two  $\Gamma$ -almost periodic weights on  $M$  such that  $\varphi_1(1) = \varphi_2(1) = +\infty$  then there exists a unitary  $u \in M$  and an  $\alpha \in \mathbb{R}_+^*$  such that  $\varphi_2 = \alpha\varphi_1(u \cdot u^*)$ .*

In the proof we shall show the following analogue of Theorem 4.2.6 [4].

**LEMMA 4.8.** *Let  $M$  be a full factor with  $\text{Sd}(M) = \Gamma \neq \mathbb{R}_+^*$ , let  $\varphi$  be an almost periodic weight on  $M$  then the following conditions are equivalent.*

- (a)  $\varphi$  is a  $\Gamma$ -almost periodic weight.
- (b) Point spectrum  $\Delta_\varphi = \text{Sd}(M)$ .
- (c)  $M_\varphi' \cap M = \mathbb{C}$ .
- (d)  $M_\varphi$  is a factor.
- (e)  $(M_\varphi \subset M_\psi, \psi \text{ faithful semi-finite normal weight}) \Rightarrow \psi = \alpha\varphi$  for some  $\alpha > 0$ .

*Proof.* (a)  $\Leftrightarrow$  (b) is clear. (b)  $\Rightarrow$  (d) One has  $\text{Sp}(\sigma^{\varphi, \Gamma}) = \Gamma(\sigma^{\varphi, \Gamma})$  hence by Theorem 2.4.1 of [4],  $M_\varphi$  is a factor. (d)  $\Rightarrow$  (c) follows from the inclusion  $M \cap M_\varphi' \subset M_\varphi$ .

(c)  $\Rightarrow$  (e) By hypothesis the  $u_i = (D\psi : D\varphi)_i$  belong to  $M_\varphi' \cap M = \mathbb{C}$  hence  $\psi$  is proportional to  $\varphi$  (compare with [4] Theorem 4.2.1b)).

(e)  $\Rightarrow$  (d) Take  $h \in [1/2, 1]$ ,  $h \in \text{Center of } M_\varphi$  then  $\psi = \varphi(h \cdot)$  has a centralizer containing  $M_\varphi$  hence  $h = \alpha$  for some  $\alpha \in \mathbb{R}_+^*$  so that  $M_\varphi$  is a factor.

(d)  $\Rightarrow$  (a) follows from Proposition 2.2.2(b) in [4] and Theorem 4.1 above.

LEMMA 4.9. *Let  $M$  be a factor,  $\varphi$  be an  $\Gamma$ -almost periodic weight on  $M$ . Let  $B$  be the operator of multiplication by the function  $\gamma \rightarrow \beta(\gamma)$  in  $l^2(\Gamma)$ , and  $\omega = \text{tr}(B \cdot)$  the corresponding weight in  $\mathcal{L}(l^2(\Gamma))$  ( $\text{Tr}$  is the usual trace). Then  $M \otimes \mathcal{L}(l^2(\Gamma))$  is isomorphic to the cross product of the centraliser  $(M \otimes \mathcal{L}(l^2(\Gamma)))_{\varphi \otimes \omega}$  by an action of the group  $\Gamma$  (with discrete topology).*

*Proof.* The weight  $\varphi \otimes \omega$  is  $\Gamma$ -almost periodic on  $P = M \otimes \mathcal{L}(l^2(\Gamma))$  hence  $P_{\varphi \otimes \omega}$  is the range of a normal conditional expectation  $E$  from  $P$ . Moreover the inclusion  $P'_{\varphi \otimes \omega} \subset P_{\varphi \otimes \omega}$  follows from an immediate modification of [4] Lemma 4.2.3.

For  $\gamma \in \Gamma$  let  $u_\gamma$  be the unitary in  $l^2(\Gamma)$  corresponding to translation of  $\gamma$ . Clearly  $\gamma \rightarrow U_\gamma = 1 \otimes u_\gamma$  is an homomorphism of  $\Gamma$  in the unitary group of  $P$  such that:  $\sigma_t^{\varphi \otimes \omega, \Gamma}(U_\gamma) = (t, \gamma) U_\gamma$ ,  $\forall t \in \mathbb{R}$ . It follows that  $\text{Ad } U_\gamma$  leaves  $P_{\varphi \otimes \omega}$  globally invariant, thus defining an automorphism  $V_\gamma$  of this von Neumann algebra. Moreover using [4] Part. 2 and the discreteness of  $\Gamma$  we see that  $P_{\varphi \otimes \omega}$  and the  $U_\gamma$  generate the von Neumann algebra  $P$ .

Let  $\tau$  be the restriction of  $\varphi \otimes \omega$  to  $P_{\varphi \otimes \omega}$ ; it is faithful semifinite normal trace and  $\tau \circ V_\gamma = \beta(\gamma)\tau$  (Use [4] Lemma 1.4.5(b)) so that for any  $\gamma \neq 1$  the automorphism  $V_\gamma$  is outer and satisfies  $p(V_\gamma) = 0$  with the notations of [4] Proposition 1.5.1.

Now the conclusion follows from [4] Remark 4.1.3(d).

LEMMA 4.10. *Let  $\Lambda$  be a discrete Abelian group acting by automorphisms  $x \rightarrow g \cdot x$  on a von Neumann algebra  $N$ . Assume that the center  $C$  of  $N$  is diffuse and that the action of  $\Lambda$  on  $C$  is ergodic. Then  $P = W^*(\Lambda, N)$  is not a full factor and has property  $L$  of Pukanszky.*

*Proof.* The action of  $\Lambda$  on  $C$  is weakly equivalent to a free action of  $(\mathbb{Z}/2)^{(\mathbb{N})}$  on  $C$  (result due to W. Krieger). Let  $\varphi$  be an arbitrary faithful normal state on  $C$ . Then for each  $n = 1, 2, \dots$  there exists a unitary  $u_n \in C$  such that:  $\varphi(u_n) = 0$  and

$$S_{(\epsilon_1, \epsilon_2, \dots, \epsilon_n, 0, \dots)} u_n = u_n \quad \forall \epsilon_j = 0, 1 \quad j = 1, \dots, n.$$

Identifying  $N$  with its canonical image in  $P = W^*(\Lambda, N)$ , we note  $E$  the canonical conditional expectation of  $P$  onto  $N$  and  $\lambda \rightarrow U_\lambda$  the canonical homomorphism of  $\Lambda$  in the unitary group of  $P$ .

For  $\lambda \in \Lambda$  the restriction of  $\text{Ad } U_\lambda$  to  $C$  belongs to the full group of the  $S_\epsilon$ ,  $\epsilon \in (\mathbb{Z}/2)^{(\mathbb{N})}$  so that there exists a family of projections  $(e_\epsilon^\lambda)_{\epsilon \in (\mathbb{Z}/2)^{(\mathbb{N})}}$  in  $C$  such that  $U_\lambda x U_\lambda^* = \sum S_\epsilon(e_\epsilon^\lambda x)$ . Let then  $e_n^\lambda = \sum_{\epsilon=(\epsilon_1, \dots, \epsilon_n, 0, \dots)} S_\epsilon(e_\epsilon^\lambda)$ . When  $n \rightarrow \infty$ ,  $e_n^\lambda$  tends to 1 strongly and as

$U_\lambda u_n U_\lambda^* e_n^\lambda = u_n e_n^\lambda$ ,  $U_\lambda u_n U_\lambda^* - u_n = u_n(e_n^\lambda - 1) + U_\lambda u_n U_\lambda^*(1 - e_n^\lambda)$  tends to 0 strongly. Moreover for each  $n$ ,  $u_n \in P_\psi$  where  $\psi = \varphi \cdot E$ . Since  $[u_n, x U_\lambda] \rightarrow_{n \rightarrow \infty} 0$  \* strongly for any  $x \in N$ , we see that  $\| [u_n, y\psi] \|_{n \rightarrow \infty} \rightarrow 0$  for each  $y$  in the linear span of the  $NU_\lambda$ ,  $\lambda \in \Lambda$  in  $P$ .

As the set of such  $y\psi$  is norm dense in  $M_*$ , and as  $\psi(u_n) = 0$ ,  $\forall n$ , we conclude that  $P$  does not satisfy condition (d) in 3.1. Moreover the sequence  $(u_n)_{n \in \mathbb{N}}$  is a central sequence in  $P$  (use the proposition 2.8) hence  $P$  has property  $L$  of Pukanszky.

*Proof of (1) in Theorem 4.7.* Let  $\varphi$  be an almost periodic weight on  $M$ , with  $\Lambda =$  group generated by point spectrum of  $\varphi$ . Assume that the center of  $M_\varphi$  is diffuse. Let  $\psi = \varphi \otimes \omega$  be as in Lemma 4.9, on  $P = M \otimes \mathcal{L}(l^2(\Gamma))$  and for  $\lambda \in \Lambda$  let  $E_\lambda$  be the projection in  $\mathcal{L}(l^2(\Lambda))$  corresponding to multiplication by the characteristic function of  $\{\lambda\}$ . Then  $\psi_{1 \otimes E_\lambda}$  is isomorphic to  $\beta(\lambda)\varphi$  and hence the center of its centraliser is diffuse.

As the  $(1 \otimes E_\lambda)_{\lambda \in \Lambda}$  form a partition of unity in the centraliser of  $\psi$  it follows that the center of this centraliser is diffuse. But using Lemmas 4.8 and 4.9, it contradicts the fact that  $M$  is full. Now let  $e \in M_\varphi$  be an atom in the center of  $M_\varphi$ , then the weight  $\varphi_e$  on  $M_e$  satisfies condition (d) of Lemma 4.8. Now Theorem 4.7 being trivial for factors of type II we shall assume that  $M$  is of type III, hence that  $M_e$  is isomorphic to  $M$ . Then the corresponding weight on  $M$  satisfies condition (b) of 4.8 hence (1) of 4.7.

*Proof of (2) in Theorem 4.7.* Let  $\alpha \in \mathbb{R}_+^*$  be such that  $u_i = (D\varphi_2, D\alpha\varphi_1)_i$  extends to the dual group  $G$  of  $\Gamma$ . Let  $Q = M \otimes F_2$  be the von Neumann algebra of  $2 \times 2$  matrices over  $M$ , and  $\varphi, \varphi(\sum x_{ij} \otimes e_{ij}) = \alpha\varphi_1(x_{11}) + \varphi_2(x_{22})$  be the corresponding weight on  $Q$ .

By Proposition 1.1 we see that  $\varphi$  is  $\Gamma$ -almost periodic on  $Q$ , and as  $\text{Sd}(Q) = \Gamma$  that the centraliser  $Q_\varphi$  of  $\varphi$  is factor (Lemma 4.8). In particular the two infinite projections  $1 \otimes e_{11}$ ,  $1 \otimes e_{22}$  of  $Q_\varphi$  are equivalent and consequently there exists a unitary  $u \in M$ , with  $u^* \otimes e_{21} \in Q_\varphi$  and it follows (as in [4] p. 221) that  $\varphi_2 = \alpha\varphi_{1,u}$ .

**COROLLARY 4.11.** *Let  $M$  be a full factor with separable predual then*

$$\overline{\text{Sd}(M)} = S(M).$$

*Proof.* If  $\text{Sd}(M) = \mathbb{R}_+^*$  the conclusion follows from 1.7, so we can assume that  $\text{Sd}(M) = \Gamma \neq \mathbb{R}_+^*$ . Let  $\varphi$  be a  $\Gamma$ -almost periodic weight on  $M$  (Theorem 4.7) then  $M_\varphi$  is a factor (Lemma 4.8) hence by [4] 2.2.2(b) we have  $S(M) = \text{Sp } \Delta_\varphi$ . But as  $\Delta_\varphi$  is diagonal its spectrum is the closure of its spectrum and we get 4.11.

**COROLLARY 4.12.** *Let  $M$  be a full factor with separable predual with  $\text{Sd}(M) = \Gamma \neq \mathbb{R}_+^*$ . Then if  $M$  is not finite it is the cross product of a factor  $N$  of type  $\text{II}_\infty$  by an action  $\gamma \rightarrow \theta_\gamma$  of  $\Gamma$  on  $N$  such that*

$$\tau \circ \theta_\gamma = \beta(\gamma)\tau \quad \forall \gamma \in \Gamma.$$

*Moreover in such a description the isomorphism class of  $N$  as well as the conjugacy class in  $\text{Out } N$  of the  $\theta_\gamma$  are uniquely determined by  $M$ .*

*Proof.* Starting from a  $\Gamma$ -almost periodic weight  $\varphi$  on  $M$  such that  $\varphi(1) = +\infty$  we consider  $\psi = \varphi \otimes \omega$  on  $P = M \otimes \mathcal{L}(l^2(\Gamma))$  as in Lemma 4.9. Then  $\psi$  is  $\Gamma$ -almost periodic and  $\psi(1) = +\infty$  so that  $P_\psi$  is (use 4.8) a factor of type  $\text{II}_\infty$ . So that the existence of  $N$  and  $\theta$  follows from Lemma 4.9.

Now assume  $M = W^*(\Gamma, N)$  where  $\Gamma$  acts on the type  $\text{II}_\infty$  factor by  $\theta: \tau \circ \theta_\gamma = \beta(\gamma)\tau$ ,  $\forall \gamma \in \Gamma$ . Let  $N$  be identified to a von Neumann subalgebra of  $M$ ,  $E$  be the corresponding conditional expectation and  $\varphi = \tau \circ E$ . Then it follows from [4] and Proposition 1.1 that  $\varphi$  is  $\Gamma$ -almost periodic on  $M$  with  $\varphi(1) = +\infty$ , hence the uniqueness statement (4.7(b)) implies the last conclusion of 4.12.

## V. FULL FACTORS WITHOUT ALMOST PERIODIC STATES

Our aim is to prove the existence of such factors.

**DEFINITION 5.1.** Let  $M$  be a full factor of type  $\text{III}_1$ , we note  $\tau(M)$  the weakest topology on  $\mathbb{R}$  for which the modular homomorphism  $\mathbb{R} \xrightarrow{\delta} \text{Out } M$  is continuous.

We shall from now on assume that  $M$  has a separable predual. Then  $\text{Out } M$  is a metrisable topological group hence  $\tau(M)$  is a metrisable group topology on  $\mathbb{R}$ , weaker than the usual one. Also  $\tau(M)$  is entirely determined by the knowledge of which sequences  $(t_n)_{n \in \mathbb{N}}$ ,  $t_n \in \mathbb{R}$  are  $\tau(M)$  converging to 0.

**THEOREM 5.2.** *Let  $\rho$  be an arbitrary injective separable unitary representation of  $\mathbb{R}$  then there exists a full factor  $M$  of type  $\text{III}_1$  acting in a separable Hilbert space such that  $\tau(M) =$  weakest topology on  $\mathbb{R}$  for which  $\rho$  is strongly continuous.*

*Proof.* We can assume that there exists a finite measure  $\mu$  on  $\mathbb{R}_+^*$  with  $\int \lambda d\mu(\lambda) < \infty$  such that for each  $t$ ,  $\rho(t)$  is the multiplication by  $\lambda^{it}$  in  $L^2(\mathbb{R}_+^*, d\mu)$ . Let  $P = L^\infty(\mathbb{R}_+^*, \mu) \otimes F_2$ ,  $\varphi$  the unique state on  $P$  proportional to the functional

$$f = \sum f_{ij} \otimes e_{ij} \rightarrow \int f_{11}(\lambda) d\mu(\lambda) + \int \lambda f_{22}(\lambda) d\mu(\lambda)$$

By [4] 1.2.3(b) we have, for  $f = \sum f_{ij} \otimes e_{ij}$  and  $t \in \mathbb{R}$ ,

$$\sigma_t^\psi(f) = f_{11} \otimes e_{11} + \rho(t)f_{21} \otimes e_{21} + \overline{\rho(t)}f_{12} \otimes e_{12} + f_{22} \otimes e_{22}$$

(where  $\rho(t)(\lambda) = \lambda^{it}$ ,  $\forall \lambda \in \mathbb{R}_+^*$ ). Hence we conclude that for sequences  $(t_n)_{n \in \mathbb{N}}$ ,  $t_n \in \mathbb{R}$  one has:  $\sigma_{t_n}^\psi \rightarrow 1$  in  $\text{Aut } P \Leftrightarrow \rho(t_n) \rightarrow 1$  strongly. Let  $P$  act in  $\mathcal{H}$ , and  $\xi_0$  be cyclic and separating with  $\omega_{\xi_0} = \varphi$ . We now adopt the notations of Proposition 3.9 and let  $M$  be the corresponding factor. By (3.9c) we have for any sequence  $(t_n)_{n \in \mathbb{N}}$ ,  $t_n \in \mathbb{R}$

$$(\sigma_{t_n}^\psi \rightarrow 1 \text{ in Aut } M) \Leftrightarrow (\Delta_{\psi, M}^{it_n} \rightarrow 1 \text{ strongly}) \Leftrightarrow (\Delta_{\varphi, P}^{it_n} \rightarrow 1 \text{ strongly})$$

hence  $\sigma_{t_n}^\psi \rightarrow 1$  in  $\text{Aut } M \Leftrightarrow \rho(t_n) \rightarrow 1$  strongly. Now assume that  $\delta_M(t_n) \rightarrow 1$  when  $n \rightarrow \infty$ . Let  $u_n$ ,  $n = 1, 2, \dots$  be unitaries in  $M$  such that  $\text{Ad } u_n \circ \sigma_{t_n}^\psi \rightarrow 1$  in  $\text{Aut } M$  with  $u$  topology. Then

$$\text{Ad } u_n \circ \sigma_{t_n}^\psi(U_{s_j}) \rightarrow U_{s_j}$$

strongly when  $n \rightarrow \infty$  hence  $[u_n^*, U_{s_j}]$  tends to zero strongly when  $n \rightarrow \infty$ . Also  $\sigma_{-t_n}^\psi \circ \text{Ad } u_n^*(U_{s_j}) \rightarrow U_{s_j}$  strongly so that

$$\|\text{Ad } u_n^* U_{s_j} - U_{s_j}\|_\psi \rightarrow 0 \text{ when } n \rightarrow \infty \quad \text{and} \quad [u_n, U_{s_j}] \rightarrow 0 * \text{ strongly.}$$

Applying Proposition (3.9b) we get a sequence  $\lambda_n$  of complex numbers of modulus 1 such that  $u_n - \lambda_n \rightarrow 0 *$  strongly. Then for any  $x \in M$  we have:

$$\sigma_{t_n}^\psi(x) = u_n^{-1}(\text{Ad } u_n \circ \sigma_{t_n}^\psi(x)) u_n = \lambda_n u_n^*(\text{Ad } u_n \circ \sigma_{t_n}^\psi(x)) \bar{\lambda}_n u_n$$

which tends to  $x$  when  $n \rightarrow \infty$  because  $\lambda_n u_n^* \rightarrow 1$  strongly, and  $\bar{\lambda}_n u_n \rightarrow 1$  strongly. Using this we see that  $\sigma_{t_n}^\psi \rightarrow 1$  in  $\text{Aut } M$ . It follows that  $\delta_M(t_n) \rightarrow 1$  when  $n \rightarrow \infty \Leftrightarrow \rho(t_n) \rightarrow_{n \rightarrow \infty} 1$  strongly.

**COROLLARY 5.3.** *There exists a factor acting in a separable Hilbert space and which possesses no almost periodic state or weight.*

*Proof.* Take  $\rho$  to be the regular representation of  $\mathbb{R}$  in 5.2, then let  $M$  be a full factor such that  $\tau(M) =$  weakest topology on  $\mathbb{R}$  making  $\rho$  strongly continuous = usual topology of  $\mathbb{R}$ .

In particular the completion of  $\mathbb{R}$  with  $\tau$  topology (more precisely the two-sided corresponding uniform structure) is  $\mathbb{R}$ . If there were any almost periodic weight  $\varphi$  on  $M$  this completion would be  $G = \bar{\Gamma}$  where  $\Gamma = \text{Sd } M$ , according to Section IV.

**COROLLARY 5.4.** *There exists a finite measure space  $X$ ,  $\mu$  and an ergodic group  $\mathcal{G}$  of non singular transformations of  $X$ ,  $\mu$  such that for any  $\nu \sim \mu$  the set of values  $d\nu(g, t)/d\nu t$ ,  $g \in \mathcal{G}$ ,  $t \in X$  is not denumerable.*

*Proof.* All the factors constructed in the Proof of 5.2 can be obtained by the group measure space construction from a triplet  $X$ ,  $\mu$ ,  $\mathcal{G}$ .

**COROLLARY 5.5.** *There are factors of type  $\text{III}_1$  acting in a separable Hilbert space and which are isomorphic to no cross product of a semifinite von Neumann algebra by an Abelian discrete group.*

*Proof.* Let  $M$  be a full factor without almost periodic state. Assume  $M = W^*(A, N)$  where  $N$  is a semifinite von Neumann algebra and  $A$  an abelian group. Then by Lemma 4.10 the center  $C$  of  $N$  has an atom and the action of  $A$  on  $C$  being ergodic,  $C$  is purely atomic. So for any pair of faithful semifinite and normal traces on  $N$  the map  $t = (D\tau_2 : D\tau_1)_t$  extends to the Bohr compactification of  $\mathbb{R}$ . Hence it follows from Proposition 1.1 that  $\tau \circ E$  is an almost periodic weight on  $M$  for any choice of  $\tau$ , a contradiction.

**COROLLARY 5.6.** *Let  $G$  be a locally compact Abelian group, then the following two conditions are equivalent*

- (1) *Any factor of type III has a decomposition Semi-finite  $\otimes G$*
- (2)  *$G$  contains a closed subgroup isomorphic to  $\mathbb{R}$ .*

*Proof.* (2)  $\Rightarrow$  (1) is an easy consequence of [13]. Assume that  $G$  does not satisfy the condition (2) above, then by classical structure theorems  $G$  contains an open compact subgroup  $K$ . Moreover, it is an easy exercise, using for instance [13] and conditional expectations, that the cross product of a semifinite von Neumann algebra by an Abelian compact group is still semifinite. As a full factor without almost periodic state has no decomposition semifinite  $\otimes$  discrete Abelian, it does not belong to the class semifinite  $\otimes G$ .

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